

READING INTERNATIONAL INC

Form 4

November 15, 2016

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Gould William David

2. Issuer Name **and** Ticker or Trading  
Symbol

READING INTERNATIONAL INC  
[RDI]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

6100 CENTER DR STE 900

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)

11/11/2016

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

LOS ANGELES, CA 90045

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)                                                                       | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Stock<br>Options;<br>Right to Buy<br>Class A<br>Non-voting<br>Common<br>Stock <sup>(1)</sup> <sup>(2)</sup> | 11/11/2016                              |                                                             | A <sup>(1)</sup>                        | 5,000<br><sup>(1)</sup>                                                    | A \$<br>8.35                                                                                                       | 42,340 <sup>(1)</sup>                                                   | D                                                                 |
| Stock<br>Options;<br>Right to Buy<br>Class A<br>Non-voting                                                  | 11/11/2016                              |                                                             | A <sup>(1)</sup>                        | 1,979<br><sup>(1)</sup>                                                    | A \$<br>8.35                                                                                                       | 44,319 <sup>(1)</sup>                                                   | D                                                                 |

Common  
Stock (1) (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                             | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount<br>Underlying Security<br>(Instr. 3 and 4) |                    |                                                |                                        |
|-------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|----------------------------------------------------------------|--------------------|------------------------------------------------|----------------------------------------|
|                                                                                                 |                                                                       |                                         |                                                             | Code                                    | V                                                                                                         | (A)                                                            | (D) | Date<br>Exercisable                                            | Expiration<br>Date | Title                                          | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Options;<br>Right to<br>Buy Class<br>A<br>Non-voting<br>Common<br>Stock <sup>(2)</sup> | \$ 8.35 <sup>(1)</sup>                                                | 11/11/2016                              |                                                             | D <sup>(1)</sup>                        |                                                                                                           | 5,000                                                          |     | 01/19/2007                                                     | 01/19/2017         | RDI Class<br>A<br>Nonvoting<br>Common<br>Stock | 5                                      |
| Stock<br>Options;<br>Right to<br>Buy Class<br>A<br>Non-voting<br>Common<br>Stock <sup>(2)</sup> | \$ 13.82<br><sup>(1)</sup>                                            | 11/11/2016                              |                                                             | F <sup>(1)</sup>                        |                                                                                                           | 3,021                                                          |     | 01/19/2007                                                     | 01/19/2017         | RDI Class<br>A<br>Nonvoting<br>Common<br>Stock | 3                                      |
| Stock<br>Options;<br>Right to<br>Buy Class<br>A<br>Non-voting<br>Common<br>Stock <sup>(2)</sup> | \$ 8.35 <sup>(1)</sup>                                                | 11/11/2016                              |                                                             | D <sup>(1)</sup>                        |                                                                                                           | 1,979                                                          |     | 01/19/2007                                                     | 01/19/2017         | RDI Class<br>A<br>Nonvoting<br>Common<br>Stock | 1                                      |

## Reporting Owners

| Reporting Owner Name / Address                                         | Relationships                          |
|------------------------------------------------------------------------|----------------------------------------|
|                                                                        | Director   10% Owner   Officer   Other |
| Gould William David<br>6100 CENTER DR STE 900<br>LOS ANGELES, CA 90045 | X                                      |

## Signatures

William D. Gould                      11/15/2016

\_\_\_\_\_  
Signature of Reporting Person                      Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Mr. Gould exercised options to acquire 10,000 shares at \$ 8.35 per share. The option exercise price for 5,000 of such options was paid \$41,750.00 in cash, with the remaining 5,000 options exercised through a net exercise of options, where the shares surrendered were valued at \$ 13.82, the closing price for Reading Class A Common Stock on Thursday, November 10, 2016, resulting in the issuance to Mr. Gould of 1,979 shares.

(2) Granted pursuant to the Company's 2010 Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.