

COLLECTORS UNIVERSE INC

Form 4

October 02, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
DEUSTER ROBERT G

(Last) (First) (Middle)

**COLLECTORS UNIVERSE,
INC., P.O. BOX 6280**

(Street)

SANTA ANA, CA 92658

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
**COLLECTORS UNIVERSE INC
[CLCT]**

3. Date of Earliest Transaction
(Month/Day/Year)
07/31/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)
Chief Executive Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)			
Common Stock	07/31/2013		F ⁽¹⁾	283 ⁽¹⁾ D	\$ 15.79	29,166 ⁽²⁾	D
Common Stock	08/30/2013		F ⁽¹⁾	283 ⁽¹⁾ D	\$ 15.63	28,332 ⁽²⁾	D
Common Stock	09/30/2013		F ⁽¹⁾	283 ⁽¹⁾ D	\$ 14.68	27,498 ⁽²⁾	D
Common Stock						18,477 ⁽³⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

DEUSTER ROBERT G
COLLECTORS UNIVERSE, INC.
P.O. BOX 6280
SANTA ANA, CA 92658

Chief Executive Officer

Signatures

Christine
Kakour 10/02/2013

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- In connection with the vesting of 2,502 shares of restricted stock held by the reporting person, a total of 849 of those shares were
- (1) cancelled to pay minimum statutory withholding taxes of \$13,052.90. For this purpose, those shares were valued at the closing price of the Company's shares on July 31, 2013, August 30, 2013 and September 30, 2013, respectively.
 - (2) Remaining restricted shares, granted under the Company's 2006 Equity Incentive Plan, which are still subject to possible forfeiture.
 - (3) Shares owned outright not subject to forfeiture.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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