

VOCERA COMMUNICATIONS, INC.

Form 4

April 04, 2012

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RRE Ventures GP II, LLC

2. Issuer Name and Ticker or Trading Symbol  
VOCERA COMMUNICATIONS, INC. [VCRA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
130 EAST 59TH STREET, 17TH FLOOR

3. Date of Earliest Transaction (Month/Day/Year)  
04/02/2012

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
 10% Owner  
\_\_\_\_ Other (specify below)

(Street)  
NEW YORK, NY 10022

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				(A) or (D)	Price				
Common Stock	04/02/2012		C	314,759	A	①	314,759	I	by RRE Ventures II, L.P. <sup>(2)</sup>
Common Stock	04/02/2012		C	55,062	A	①	55,062	I	By RRE Ventures Fund II, L.P. <sup>(3)</sup>
Common Stock	04/02/2012		C	393,406	A	④	708,165	I	By RRE Ventures II, L.P. <sup>(2)</sup>

Edgar Filing: VOCERA COMMUNICATIONS, INC. - Form 4

Common Stock	04/02/2012		C	68,820	A	(4)	123,882	I	By RRE Ventures Fund II, L.P. (3)
Common Stock	04/02/2012		C	754,133	A	(1)	1,462,298	I	By RRE Ventures II, L.P. (2)
Common Stock	04/02/2012		C	131,831	A	(1)	255,713	I	By RRE Ventures Fund II, L.P. (3)
Common Stock	04/02/2012		C	285,577	A	(1)	1,747,875	I	By RRE Ventures II, L.P. (2)
Common Stock	04/02/2012		C	49,922	A	(1)	305,635	I	By RRE Ventures Fund II, L.P. (3)
Common Stock	04/02/2012		C	78,738	A	(1)	1,826,613	I	By RRE Ventures II, L.P. (2)
Common Stock	04/02/2012		C	13,768	A	(1)	319,403	I	By RRE Ventures Fund II, L.P. (3)
Common Stock	04/02/2012		C	57,005	A	(1)	1,883,618	I	By RRE Ventures II, L.P. (2)
Common Stock	04/02/2012		C	9,972	A	(1)	329,375	I	By RRE Ventures Fund II, L.P. (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
--	---	--------------------------------------	--	--------------------------------	---	--	---

## Edgar Filing: VOCERA COMMUNICATIONS, INC. - Form 4

Security			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Series A Convertible Preferred Stock	<u>(1)</u>	04/02/2012	C			314,759	<u>(1)</u>	<u>(1)</u>	Common Stock
Series A Convertible Preferred Stock	<u>(1)</u>	04/02/2012	C			55,062	<u>(1)</u>	<u>(1)</u>	Common Stock
Series B Convertible Preferred Stock	<u>(4)</u>	04/02/2012	C			214,927	<u>(4)</u>	<u>(4)</u>	Common Stock
Series B Convertible Preferred Stock	<u>(4)</u>	04/02/2012	C			37,598	<u>(4)</u>	<u>(4)</u>	Common Stock
Series C Convertible Preferred Stock	<u>(1)</u>	04/02/2012	C			754,133	<u>(1)</u>	<u>(1)</u>	Common Stock
Series C Convertible Preferred Stock	<u>(1)</u>	04/02/2012	C			131,831	<u>(1)</u>	<u>(1)</u>	Common Stock
Series D Convertible Preferred Stock	<u>(1)</u>	04/02/2012	C			285,577	<u>(1)</u>	<u>(1)</u>	Common Stock
Series D Convertible Preferred Stock	<u>(1)</u>	04/02/2012	C			49,922	<u>(1)</u>	<u>(1)</u>	Common Stock
Series E Convertible Preferred Stock	<u>(1)</u>	04/02/2012	C			78,738	<u>(1)</u>	<u>(1)</u>	Common Stock
Series E Convertible Preferred Stock	<u>(1)</u>	04/02/2012	C			13,768	<u>(1)</u>	<u>(1)</u>	Common Stock
Series F Convertible	<u>(1)</u>	04/02/2012	C			57,005	<u>(1)</u>	<u>(1)</u>	Common Stock

Preferred Stock								
Series F Convertible Preferred Stock	(1)	04/02/2012	C	9,972	(1)	(1)		Common Stock
Series E Convertible Pref St Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	23,621	(5)	10/19/2015		Series E Convertible Preferred Stock (1)
Common Stock Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	23,621	(5)	10/19/2015		Common Stock
Series E Convertible Pref St Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	4,130	(5)	10/19/2015		Series E Convertible Preferred Stock (1)
Common Stock Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	C	4,130	(5)	10/19/2015		Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RRE Ventures GP II, LLC 130 EAST 59TH STREET 17TH FLOOR NEW YORK, NY 10022		X		
RRE Ventures II, LP 130 EAST 59TH STREET 17TH FLOOR NEW YORK, NY 10022		X		

RRE Ventures Fund II, LP  
130 EAST 59TH STREET  
17TH FLOOR  
NEW YORK, NY 10022

X

## Signatures

/s/ Andrew Zalasin,  
Member

04/04/2012

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Series A, Series C, Series D, Series E and Series F Convertible Preferred Stock converted into one share of common stock upon the completion of the Issuer's initial public offering and had no expiration date.  
  
RRE Ventures GP II, LLC ("RRE GP") is the sole general partner of RRE Ventures II, L.P. James D. Robinson III, James D. Robinson IV, Stuart J. Ellman, and Andrew L. Zalasin are members of RRE GP and may be deemed to have shared voting and investment control with respect to these shares. Each of RRE GP and Messrs. Robinson III, Robinson IV, Ellman, and Zalasin disclaim beneficial ownership of these shares except to the extent of its or his pecuniary interest therein.
- (2) RRE GP is the sole general partner of RRE Ventures Fund II, L.P. James D. Robinson III, James D. Robinson IV, Stuart J. Ellman, and Andrew L. Zalasin are members of RRE GP and may be deemed to have shared voting and investment control with respect to these shares. Each of RRE GP and Messrs. Messrs. Robinson III, Robinson IV, Ellman, and Zalasin disclaim beneficial ownership of these shares except to the extent of its or his pecuniary interest therein.
- (3) Each share of Series B Convertible Preferred Stock converted into 1.8304174 shares of common stock upon the completion of the Issuer's initial public offering and had no expiration date.
- (4) Immediately exercisable.
- (5)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.