

Wood Jeffrey M
Form 4/A
May 01, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Wood Jeffrey M

(Last) (First) (Middle)

C/O SCHLAGE LOCK COMPANY
LLC, 11819 N. PENNSYLVANIA
STREET

(Street)

CARMEL, IN 46032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Allegion plc [ALLE]

3. Date of Earliest Transaction
(Month/Day/Year)

04/30/2019

4. If Amendment, Date Original Filed(Month/Day/Year)
05/01/2019

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
Sr VP & Pres. - Asia Pacific

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) | Price | | |
| | | | | Code | V | Amount | |
| Ordinary Shares | 04/30/2019 | | M | A | 3,356 | \$ 57.85 | 6,642 D |
| Ordinary Shares | 04/30/2019 | | M | A | 938 | \$ 54.125 | 7,580 D |
| Ordinary Shares | 04/30/2019 | | M | A | 1,063 | \$ 43.58 | 8,643 D |
| Ordinary Shares | 04/30/2019 | | S | D | 5,357 | \$ 99.17 <u>(1)</u> | 3,286 D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. F. Derivative Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 57.85 | 04/30/2019 | | M | 3,356 | <u>(2)</u> 02/20/2025 | Ordinary Shares | 3,356 | |
| Stock Option (Right to Buy) | \$ 54.125 | 04/30/2019 | | M | 938 | <u>(3)</u> 03/11/2024 | Ordinary Shares | 938 | |
| Stock Option (Right to Buy) | \$ 43.58 | 04/30/2019 | | M | 1,063 | <u>(4)</u> 12/17/2023 | Ordinary Shares | 1,063 | |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Wood Jeffrey M
C/O SCHLAGE LOCK COMPANY LLC
11819 N. PENNSYLVANIA STREET
CARMEL, IN 46032

Sr VP &
Pres. - Asia
Pacific

Signatures

/s/ Hatsuki Miyata,
Attorney-In-Fact

05/01/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple sales ranging from \$99.14 to \$99.26 per share, inclusive. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or any security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected regarding this footnote.
- (1) A stock option that vested in equal annual installments on February 20, 2016, February 20, 2017 and February 20, 2018, and is exercisable.
- (2) A stock option that vested in equal annual installments on March 11, 2015, March 11, 2016 and March 11, 2017, and is exercisable.
- (3) A stock option that vested in equal annual installments on December 17, 2014, December 17, 2015 and December 17, 2016, and is exercisable.

Remarks:

This Form 4/A amends and restates the original Form 4 filed on May 1, 2019 to correct certain information in the original Form 4 and clarify that each of the stock options have vested and are exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.