

HORNSTRA PETER E  
Form 4  
February 20, 2019

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HORNSTRA PETER E

2. Issuer Name and Ticker or Trading Symbol  
THERMO FISHER SCIENTIFIC INC. [TMO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
168 THIRD AVENUE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
02/15/2019

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VP & Chief Accounting Officer

WALTHAM, MA 02451

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V Amount (A) or (D) Price   |   |  |   |
| Common Stock                    | 02/15/2019                           |  | S <sup>(1)</sup>               | 857 D \$ 250  | 17,057  | D  |   |
| Common Stock                    | 02/15/2019                           |  | M                              | 1,650 A \$ 129.77   | 18,707  | D  |   |
| Common Stock                    | 02/15/2019                           |  | S <sup>(1)</sup>               | 1,650 D \$ 250  | 17,057  | D  |   |
| Common Stock                    | 02/15/2019                           |  | M                              | 638 A \$ 124.28   | 17,695  | D  |   |
| Common Stock                    | 02/15/2019                           |  | S <sup>(1)</sup>               | 638 D \$ 250  | 17,057  | D  |   |

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|              |            |                  |       |   |           |        |   |           |
|--------------|------------|------------------|-------|---|-----------|--------|---|-----------|
| Common Stock | 02/15/2019 | M                | 2,775 | A | \$ 131.07 | 19,832 | D |           |
| Common Stock | 02/15/2019 | S <sup>(1)</sup> | 2,775 | D | \$ 250    | 17,057 | D |           |
| Common Stock |            |                  |       |   |           | 533.87 | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy)                | \$ 129.77  | 02/15/2019                           |  | M                              | 1,650   | <sup>(2)</sup> 02/24/2023                                | Common Stock  | 1,650                         |
| Stock Option (Right to Buy)                | \$ 124.28  | 02/15/2019                           |  | M                              | 638   | <sup>(3)</sup> 02/26/2021                                | Common Stock  | 638                           |
| Stock Option (Right to Buy)                | \$ 131.07  | 02/15/2019                           |  | M                              | 2,775   | <sup>(4)</sup> 02/25/2022                                | Common Stock  | 2,775                         |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |

HORNSTRA PETER E  
168 THIRD AVENUE  
WALTHAM, MA 02451

VP & Chief  
Accounting  
Officer

## Signatures

/s/ Melodie T. Morin, Attorney-in-Fact for Peter E.  
Hornstra

02/20/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 6, 2018.
  - (2) The option vests in four equal annual installments beginning on February 24, 2017.
  - (3) The options vested in four equal installments on February 26, 2015, 2016, 2017, and 2018.
  - (4) The option vests in four equal annual installments beginning on February 25, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.