Edgar Filing: Thamm Michael Olaf - Form 4

Thamm Mic Form 4	chael Olaf											
October 17,	2018											
									OMB APPROVAL			
	Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287		
Check th if no lon subject t Section Form 4 o	so STATE 16.	MENT O	OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: January 31 200 Estimated average burden hours per response 0.		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Section 17(a) of the Public Utility Holding Company Act of 1935 or Sec 30(h) of the Investment Company Act of 1940									•			
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> Thamm Michael Olaf			2. Issuer Name and Ticker or Trading Symbol CARNIVAL PLC [CUK]					5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (Middle)	3. Date of	of Earliest T	Transaction			(Check	all applicable	2)		
C/O CARN CORPORA AVENUE	IIVAL ATION, 3655 N.V	V. 87TH	(Month/ 10/15/2	Day/Year) 2018			i	Director X Officer (give t below) Group CE		Owner er (specify ciere		
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
MIAMI, FI	2 33178							Form filed by Mo Person	ore than One Re	porting		
(City)	(State)	(Zip)	Tal	ole I - Non-	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ction Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)			
Ordinary Shares	10/15/2018			S	46,290	D	\$ 56.9307 (1)	27,429.418 (2)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution any (Month/D	n Date, if	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Number Expiration Date f (Month/Day/Year) Derivative ecurities ecurities scquired A) or Disposed f (D) Instr. 3,		Amo Unde Secur	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
Beno	rtina O	wnors			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Reporting Owners												
Report	ing Owner Na	nme / Address	Director	10% Owne		ationships er		Otl	her			

Group CEO - Costa Crociere

Thamm Michael Olaf C/O CARNIVAL CORPORATION 3655 N.W. 87TH AVENUE MIAMI, FL 33178

Signatures

/s/ Michael O. Thamm 10/17/2018 <u>**Signature of Date</u> Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The transaction was conducted in British Pounds. The sale price of GBP43.29022 was converted into US\$ at the October 15, 2018 conversion rate of GBP1 = USD1.31426. This transaction was executed in multiple trades at prices ranging from GBP43.05 to GBP43.57.

- (1) The price reported above reflects the average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) Includes shares acquired pursuant to the dividend reinvestment feature of the reporting person's brokerage account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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