

SYNEX CORP
Form 4
July 06, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
POLK DENNIS

(Last) (First) (Middle)

44201 NOBEL DRIVE

(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SYNEX CORP [SNX]

3. Date of Earliest Transaction (Month/Day/Year)
07/03/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(D)	Price		
Common Stock	07/03/2017		M		500	A	\$ 26.98	33,968	D
Common Stock	07/03/2017		S(1)		71	D	\$ 120.6	33,897	D
Common Stock	07/03/2017		S(1)		71	D	\$ 120.55	33,826	D
Common Stock	07/03/2017		S(1)		71	D	\$ 120.46	33,755	D
Common Stock	07/03/2017		S(1)		71	D	\$ 120.35	33,684	D

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Common Stock	07/03/2017	<u>S(1)</u>	73	D	\$ 120.34	33,611	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 120.3	33,540	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 120.26	33,469	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 120.25	33,398	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 120.22	33,327	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 120.18	33,256	D
Common Stock	07/03/2017	<u>S(1)</u>	123	D	\$ 120.11	33,133	D
Common Stock	07/03/2017	<u>S(1)</u>	20	D	\$ 120.1	33,113	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 120.08	33,042	D
Common Stock	07/03/2017	<u>S(1)</u>	143	D	\$ 120.06	32,899	D
Common Stock	07/03/2017	<u>S(1)</u>	143	D	\$ 120.05	32,756	D
Common Stock	07/03/2017	<u>S(1)</u>	131	D	\$ 120.04	32,625	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 120.02	32,554	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 119.97	32,483	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 119.94	32,412	D
Common Stock	07/03/2017	<u>S(1)</u>	19	D	\$ 119.91	32,393	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 119.9	32,322	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 119.895	32,251	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 119.89	32,180	D
Common Stock	07/03/2017	<u>S(1)</u>	71	D	\$ 119.88	32,109	D
	07/03/2017	<u>S(1)</u>	71	D	\$ 119.87	32,038	D

Common Stock								
Common Stock	07/03/2017	S ⁽¹⁾	71	D	\$ 119.86	31,967	D	
Common Stock	07/03/2017	S ⁽¹⁾	71	D	\$ 119.84	31,896	D	
Common Stock	07/03/2017	S ⁽¹⁾	71	D	\$ 119.76	31,825	D	
Common Stock	07/03/2017	S ⁽¹⁾	143	D	\$ 119.75	31,682	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)
Stock Option (Right to Buy)	\$ 26.98	07/03/2017		M	500	⁽²⁾ 10/05/2021	Common Stock	500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
POLK DENNIS 44201 NOBEL DRIVE FREMONT, CA 94538	X		Chief Operating Officer	

Signatures

/s/ Simon Y. Leung,
Attorney-in-Fact

07/06/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These sales were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on April 27, 2016.

(2) This stock option is immediately exercisable as to 1139 shares and is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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