

NACCO INDUSTRIES INC  
Form 4  
January 05, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WILLIAMS DAVID B**

2. Issuer Name and Ticker or Trading Symbol  
**NACCO INDUSTRIES INC [NC]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

**NACCO INDUSTRIES, INC., 5875  
LANDERBROOK DRIVE, STE.  
220**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**12/22/2016**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Member of a group

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**MAYFIELD HEIGHTS, OH 44124**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned or Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Class A Common Stock	12/22/2016		G	V 199 D	12,203	I	By Assoc II/Spouse <sup>(2)</sup>
Class A Common Stock	12/22/2016		G	V 199 A	8,003	I	By Assoc II/Daughter <sup>(3)</sup>
Class A Common Stock	12/22/2016		G	V 199 D	12,004	I	By Assoc II/Spouse <sup>(2)</sup>
Class A Common Stock	12/22/2016		G	V 199 A	9,378	I	By Assoc

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Common Stock									II/Daughter2 <u>(3)</u>				
Class A Common Stock	12/22/2016		G V 100	A	<u>1</u>	12,104		I	By Assoc II/Spouse <u>(2)</u>				
Class A Common Stock	12/22/2016		G V 199	A	<u>1</u>	12,303		I	By Assoc II/Spouse <u>(2)</u>				
Class A Common Stock	12/22/2016		G V 199	A	<u>1</u>	6,736		I	By Assoc II <u>(4)</u>				
Class A Common Stock	12/22/2016		G V 199	A	<u>1</u>	8,202		I	By Assoc II/Daughter <u>(3)</u>				
Class A Common Stock	12/22/2016		G V 199	A	<u>1</u>	9,577		I	By Assoc II/Daughter2 <u>(3)</u>				
Class A Common Stock	01/03/2017		A <sup>(5)</sup>			243		A	<u>1</u>	8,292		D	
Class A Common Stock						9,628		I					to Spouse by RAIV (A)
Class A Common Stock						68,094		I					By Spouse/Trust <u>(6)</u>
Class A Common Stock						2,553		I					Trust/Child 2 <u>(7)</u>
Class A Common Stock						3,927		I					By Trust/Child1 <u>(7)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
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- (7) Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child. Reporting Person disclaims beneficial ownership of all such shares.
- (8) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates I, L.P. Reporting Person disclaims beneficial ownership of all such shares.

**Remarks:**

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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