**BALL CORP** Form 4 March 17, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **HOOVER R DAVID** 

2. Issuer Name and Ticker or Trading

Symbol

BALL CORP [BLL]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

BALL CORPORATION, 10 LONGS 03/16/2015 PEAK DR.

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

BROOMFIELD, CO 80021-2510

| (City)                               | (State)                              | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                 |   |        |                    |  |  |   |  |  |
|--------------------------------------|--------------------------------------|--|-----------------|---|--------|--------------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | Code (Instr. 8) | 4. Securities oner Disposed (Instr. 3, 4 and Amount | of (D) |                    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Stock                                | 03/16/2015                           |  | <u>J(1)</u>     | 219.9246  | A      | <sup>5</sup> 70.41 | 120,947.2513   | D  |   |  |  |
| Common<br>Stock                      |                                      |  |                 |   |        |                    | 7,946.103  | I  | 401(k)<br>Plan (2)  |  |  |
| Common<br>Stock                      |                                      |  |                 |   |        |                    | 77,250   | I  | By<br>GRAT  |  |  |
| Common<br>Stock                      |                                      |  |                 |   |        |                    | 287,843  | I  | RDH<br>Trust  |  |  |
| Common<br>Stock                      |                                      |  |                 |   |        |                    | 32,605   | I  | SAH<br>Trust (3)  |  |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount Underlying Securitic (Instr. 3 and 4) |                       |
|---|---|---|---|---|--|-----|--|--------------------|---|-----------------------|
|   |   |   |   | Code V                                  | (A)  | (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amou<br>Numb<br>Share |
| Deferred<br>Compensation<br>Company<br>Stock Plan   | <u>(4)</u>  | 03/16/2015                              |   | J <u>(5)</u>                            | 298.2531   |     | <u>(6)</u>   | <u>(6)</u>         | Common<br>Stock   | 298.                  |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

HOOVER R DAVID
BALL CORPORATION
10 LONGS PEAK DR.

BROOMFIELD, CO 80021-2510

#### **Signatures**

/s/ Janice L. Rodriguez, attorney-in-fact for Mr.
Hoover

03/17/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend reinvestment in Ball Corporation's 2000 Deferred Compensation Company Stock Plan.
- (2) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (3) The reporting person expressly disclaims beneficial ownership of these securities.
- (4) Each unit may be settled for a single share of stock or the equivalent amount of cash pursuant to the Ball Corporation Deferred Compensation Company Stock Plan.
- (5) Contribution of quarterly directors fees and possible company match in Ball Corporation Deferred Compensation Company Stock Plan.

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(6) Stock units in Ball Corporation's Deferred Compensation Company Stock Plan are distributed upon the separation of service in accordance with the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.