

Duke Energy CORP
Form 4
September 02, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
JANSON JULIA S

2. Issuer Name and Ticker or Trading Symbol
Duke Energy CORP [DUK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
550 S. TRYON STREET

3. Date of Earliest Transaction (Month/Day/Year)
08/28/2014

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP&Chf Lgl Off&CorpSecretary

(Street)
CHARLOTTE, NC 28202

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	08/28/2014		M	1,224 D	\$ 46.5	23,126	D
Common Stock	08/28/2014		M	1,244 D	\$ 45.78	24,370	D
Common Stock	08/28/2014		S	1,044 D	\$ 73.27	23,326	D
Common Stock	08/28/2014		S	200 D	\$ 73.28	23,126	D
Common Stock	08/28/2014		M	387 D	\$ 46.5	23,513	D

Edgar Filing: Duke Energy CORP - Form 4

Common Stock	08/28/2014	S	87	D	\$ 73.26	23,426	D	
Common Stock	08/28/2014	S	300	D	\$ 73.265	23,126	D	
Common Stock	08/28/2014	M	316	D	\$ 45.78	23,442	D	
Common Stock	08/28/2014	S	316	D	\$ 73.275	23,126	D	
Common Stock						2,326	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 45.78	08/28/2014		M	1,244	04/01/2006 ⁽¹⁾ 01/01/2015	Common Stock	1,244
Stock Option (Right to Buy)	\$ 46.5	08/28/2014		M	1,224	⁽²⁾ 01/01/2016	Common Stock	1,224
Stock Option (Right to Buy)	\$ 45.78	08/28/2014		M	316	04/01/2006 ⁽¹⁾ 01/01/2015	Common Stock	316
Stock Option	\$ 46.5	08/28/2014		M	387	⁽²⁾ 01/01/2016	Common Stock	387

(Right to Buy)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JANSON JULIA S 550 S. TRYON STREET CHARLOTTE, NC 28202			EVP&Chf Lgl Off&CorpSecretary	

Signatures

/s/ David S. Maltz, attorney-in-fact for Julia S. Janson

09/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All options became exercisable in connection with the April 3, 2006 merger between Duke Energy and Cinergy.
- (2) The reporting person was granted 4,836 options on January 1, 2006. The options vested in three equal annual installments beginning on the first anniversary date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.