#### **CVS CAREMARK CORP**

Form 4 July 03, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

(Middle)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Print or Type Responses)

ONE CVS DRIVE

1. Name and Address of Reporting Person \* Denton David M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) CVS CAREMARK CORP [CVS]

(Check all applicable)

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

07/01/2014

below) below) EVP, CFO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

WOONSOCKET, RI 02895-

(City)	(State)	Zip) Table	e I - Non-D	erivative S	Securi	ties Acqu	ired, Disposed of, o	r Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	07/01/2014		M	26,811	A	\$ 41.17	113,694.7692	D	
Common Stock	07/01/2014		M	48,178	A	\$ 28.1	161,872.7692	D	
Common Stock	07/01/2014		S <u>(1)</u>	74,989	D	\$ 75.88 (2)	86,883.7692	D	
Common Stock (restricted)							181,662	D	
							1,618.1203	I	By ESOP

#### Edgar Filing: CVS CAREMARK CORP - Form 4

**ESOP** Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour Number Shares
Stock Option	\$ 41.17	07/01/2014		M		26,811	04/01/2009(3)	04/01/2015	Common Stock	26,8
Stock Option	\$ 28.1	07/01/2014		M		48,178	04/01/2010(4)	04/01/2016	Common Stock	48,1
Stock Option	\$ 40.28						03/05/2011(5)	03/05/2018	Common Stock	12,4
Stock Option	\$ 36.23						04/01/2011(6)	04/01/2017	Common Stock	95,6
Stock Option	\$ 34.96						04/01/2012(7)	04/01/2018	Common Stock	107,1
Stock Option	\$ 45.07 (8)						04/02/2013	04/02/2019	Common Stock	110,9
Stock Option	\$ 54.53						04/01/2014(9)	04/01/2020	Common Stock	108,1
Stock Option	\$ 74.29						04/01/2015(10)	04/01/2021	Common Stock	62,9

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
			EVP. CFO				

Reporting Owners 2 Denton David M ONE CVS DRIVE WOONSOCKET, RI 02895-

## **Signatures**

/c/ David M. 07/02/2014 Denton

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All sales were effected pursuant to a Rule 10b5-1 plan.
- Represents weighted average sale price for this trading day. Multiple sales were executed, with sales prices ranging between \$75.46 and \$76.16 per share.
- (3) Option became exercisable in three equal annual installments, commencing 4/1/2009.
- (4) Option became exercisable in three equal annual installments, commencing 4/1/2010.
- (5) Option became exercisable in three equal annual installments, commencing 3/5/2011.
- (6) Option became exercisable in three equal annual installments, commencing 4/1/2011.
- (7) Option became exercisable in four equal annual installments, commencing 4/1/2012.
- (8) Option became exercisable in four equal annual installments, commencing 4/2/2013.
- (9) Option became exercisable in four equal annual installments, commencing 4/1/2014.
- (10) Option becomes exercisable in four equal annual installments, commencing 4/1/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3