**BALL CORP** Form 4 March 19, 2014

## FORM 4

Form 5

1(b).

obligations

may continue.

See Instruction

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Pauley Lisa Ann

10 LONGS PEAK DRIVE

2. Issuer Name and Ticker or Trading Symbol

BALL CORP [BLL]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

03/18/2014

Filed(Month/Day/Year)

4. If Amendment, Date Original

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

SR VP, HR & Administration

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### BROOMFIELD, CO 80021-2510

(Street)

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit onor Dispos (Instr. 3, 4	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/18/2014		M <u>(1)</u>	2,784	A	\$ 17.055	148,426.7234	I	By Spouse (2)
Common Stock	03/18/2014		M(3)	13,216	A	\$ 17.055	161,642.7234	I	By Spouse (2)
Common Stock	03/18/2014		S	300	D	\$ 54.57	161,342.7234	I	By Spouse (2)
Common Stock	03/18/2014		S	1,180	D	\$ 54.58	160,162.7234	I	By Spouse (2)
Common Stock	03/18/2014		S	500	D	\$ 54.59	159,662.7234	I	By Spouse (2)
	03/18/2014		S	107	D	\$ 54.6	159,555.7234	I	

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Common Stock								By Spouse (2)
Common Stock	03/18/2014	S	1,600	D	\$ 54.61	157,955.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	2,096	D	\$ 54.62	155,859.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	1,983	D	\$ 54.63	153,876.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	700	D	\$ 54.64	153,176.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	537	D	\$ 54.65	152,639.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	1,300	D	\$ 54.66	151,339.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	300	D	\$ 54.67	151,039.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	1,698	D	\$ 54.68	149,341.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	1,100	D	\$ 54.69	148,241.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	1,000	D	\$ 54.7	147,241.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	700	D	\$ 54.71	146,541.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	500	D	\$ 54.72	146,041.7234	I	By Spouse (2)
Common Stock	03/18/2014	S	399	D	\$ 54.73	145,642.7234	I	By Spouse (2)
Common Stock						2,496.098	I	401(k) Plan (4)
Common Stock						36,498.8046	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	TransactionDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		{ } (	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (iso) (Right to Buy)	\$ 17.055	03/18/2014		M <u>(1)</u>		2,784	<u>(5)</u>	04/28/2014	Common Stock	2,784	
Stock Option (Right to Buy)	\$ 17.055	03/18/2014		M(3)		13,216	<u>(6)</u>	04/28/2014	Common Stock	13,216	

# **Reporting Owners**

Reporting Owner Name / Address			Relationships	
		10~ 0	0.00	

Director 10% Owner Officer Other

Pauley Lisa Ann 10 LONGS PEAK DRIVE BROOMFIELD, CO 80021-2510

SR VP, HR & Administration

## **Signatures**

/s/ Robert W. McClelland, attorney-in-fact for Ms. Pauley

03/19/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Incentive Stock Options (ISO)
- (2) The reporting person expressly disclaims beneficial ownership of the securities owned by Spouse.
- (3) Exercise of stock options.
- (4) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (5) Shares exercisable beginning one year after grant in 25% increments and thereafter annually upon the anniversary of the date of the grant of the incentive stock option.
- (6) Shares exercisable beginning one year after grant in 25% increments and thereafter annually upon the anniversary of the date of the grant of the stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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