

STANLEY BLACK & DECKER, INC.

Form 4

February 04, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**VOELKER JOSEPH R**

2. Issuer Name and Ticker or Trading Symbol  
**STANLEY BLACK & DECKER, INC. [SWK]**

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
**1000 STANLEY DRIVE**  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**01/31/2014**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
**SVP, Human Resources**

**NEW BRITAIN, CT 06053**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	01/31/2014		M	1,875 A \$ 49.0225	21,528	D	
Common Stock	01/31/2014		S	1,875 D \$ 76.67	19,653	D	
Common Stock	01/31/2014		M	1,875 A \$ 63.715	21,528	D	
Common Stock	01/31/2014		S	1,875 D \$ 76.67	19,653	D	
Common Stock	01/31/2014		M	1,875 A \$ 64.785	21,528	D	

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Common Stock	01/31/2014	S	1,875	D	\$ 76.67	19,653	D	
Common Stock	01/31/2014	S	412	D	\$ 76.67	19,241	D	
Common Stock	01/31/2014	S	381	D	\$ 76.67	18,860	D	
Common Stock	01/31/2014	S	412	D	\$ 76.67	18,448	D	
Common Stock <sup>(1)</sup>						3,958.5679	I	Through Computershare Under ESPP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount of Underlying Security	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount of Underlying Security
Stock Option (Right to Buy)	\$ 49.0225	01/31/2014		M	1,875	12/09/2013 12/09/2019	Common Stock	1	
Stock Option (Right to Buy)	\$ 63.715	01/31/2014		M	1,875	<u>(2)</u> 12/09/2020	Common Stock	1	
Stock Option (Right to Buy)	\$ 64.785	01/31/2014		M	1,875	<u>(3)</u> 12/08/2021	Common Stock	1	
Interest In Employer Stock Fund (401(k) Plan) <sup>(4)</sup>	<u>(5)</u>					<u>(5)</u> <u>(5)</u>	Common Stock	1,1	
Interest in Employer Stock Fund	<u>(5)</u>					<u>(5)</u> <u>(5)</u>	Common Stock	57	

(Supplemental  
Plan) <sup>(6)</sup>

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
VOELKER JOSEPH R 1000 STANLEY DRIVE NEW BRITAIN, CT 06053			SVP, Human Resources	

## Signatures

/s/ Bruce H. Beatt,  
Attorney-in-Fact

02/04/2014

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) Aggregate number of shares held in ESPP as of the last day of the calendar month that ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported. Because interest in Plan is denominated in cash, fluctuation in share price since the balance was last reported may have resulted in either an increase or decrease in associated number of shares.
  - (2) 1,875 shares are currently exercisable, 1,875 shares become exercisable on 12/9/2014.
  - (3) 1,875 shares are currently exercisable, 1,875 shares become exercisable on 12/8/2014, 1,875 shares become exercisable on 12/8/2015. Represents shares held for the reporting person under the Company's 401(k) Savings Plan as of the last day of the calendar month that
  - (4) ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported.
  - (5) Exempt
  - (6) Represents shares held for the reporting person under the Company's Supplemental Plan as of the last day of the calendar month that ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.