

Biehler Stephane
Form 4
May 09, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Biehler Stephane

2. Issuer Name and Ticker or Trading Symbol
NYSE Euronext [NYX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O NYSE EURONEXT, 11 WALL STREET

3. Date of Earliest Transaction (Month/Day/Year)
11/01/2008

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

Chief Accounting Officer

(Street)
NEW YORK, NY 10005

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock, par value \$0.01 per share	11/01/2008		C ⁽¹⁾		627	A	\$ 0
					3,090		⁽²⁾
Common Stock, par value \$0.01 per share	11/01/2008		F		238	D	\$ 30.18
					2,852		⁽³⁾
Common Stock, par value \$0.01 per share	11/01/2009		C ⁽¹⁾		836	A	\$ 0
					5,110		⁽²⁾

Edgar Filing: Biehler Stephane - Form 4

value
\$0.01 per
share

Common
Stock, par
value
\$0.01 per
share

11/01/2009

F

347

D

\$ 25.85
(3)

4,763 (2)

D

Common
Stock, par
value
\$0.01 per
share

05/08/2013

S

24,486

D

\$
40.0537 0 (5)
(4)

0 (5)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(6)	11/01/2008		C(1)	627	(6)	(6)	Common Stock, par value \$0.01 per share	627
Restricted Stock Units	(6)	11/01/2009		C(1)	836	(6)	(6)	Common Stock, par value \$0.01 per share	836

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Biehler Stephane C/O NYSE EURONEXT 11 WALL STREET NEW YORK, NY 10005			Chief Accounting Officer	

Signatures

/s/ Janet L. McGinness under POA dated December 4,
2007

05/09/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents conversion of Restricted Stock Units into an equal number of shares of Common Stock.
- (2) Represents the amount of securities beneficially owned as of the applicable transaction date.
- (3) Represents the closing price of the Common Stock on the trading day immediately before the date of delivery of the securities.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$39.95 to \$40.13, inclusive. The reporting person undertakes to provide to NYSE Euronext, any security holder of NYSE Euronext, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (4).

- (4) Represents the amount of Common Stock beneficially owned by the reporting person as of May 8, 2013 following the sale of Common Stock.

Each Restricted Stock Unit ("RSU") represents the right to receive one share of Common Stock. RSUs vested and shares were delivered as follows: 627 shares on November 1, 2008, and 836 shares on November 1, 2009, subject to the reporting person's continued employment with the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.