HAYES JOHN A

Form 4 September 19, 2012

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number: January 31,

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burden hours per response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* HAYES JOHN A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

BALL CORP [BLL]

(Check all applicable) 3. Date of Earliest Transaction

(Last) (First) (Middle)

(Month/Day/Year)

\_X\_\_ Director 10% Owner Other (specify X\_ Officer (give title

BALL CORPORATION, 10 LONGS 09/18/2012

(Street)

PEAK DR.

below) PRESIDENT & C.E.O. BALL CORP.

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### BROOMFIELD, CO 80021-2510

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative S	ecurit	ies Acquired	l, Disposed of, or E	Seneficially O	wned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities on Disposed of (Instr. 3, 4 as	(D)	ired (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/18/2012		Code V M(1)	Amount 12,000	(D) A	Price \$ 14.0775	(Instr. 3 and 4) 217,500.5556	D	
Common Stock	09/18/2012		S	5,000	D	\$ 43.09	212,500.5556	D	
Common Stock	09/18/2012		S	2,000	D	\$ 42.9	210,500.5556	D	
Common Stock	09/18/2012		S	100	D	\$ 42.855	210,400.5556	D	
Common Stock	09/18/2012		S	100	D	\$ 42.79	210,300.5556	D	

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Common Stock	09/18/2012	S	500	D	\$ 42.78	209,800.5556	D	
Common Stock	09/18/2012	S	4,300	D	\$ 42.75	205,500.5556	D	
Common Stock	09/18/2012	J(2)	137.7134	A	\$ 43.12	205,638.269	D	
Common Stock						4,338.005	I	401(k) Plan (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	1		te	7. Title a Underlyi (Instr. 3	
	Security			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right to Buy)	\$ 14.0775	09/18/2012		M(1)	(11)	12,000	04/22/2004	04/22/2013	Comm Stocl
Deferred Compensation Company Stock Plan	<u>(4)</u>	09/18/2012		J <u>(5)</u>	313.7602		<u>(6)</u>	<u>(6)</u>	Comm Stocl

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
HAYES JOHN A BALL CORPORATION 10 LONGS PEAK DR. BROOMFIELD, CO 80021-2510	X		PRESIDENT & C.E.O. BALL CORP.				

Reporting Owners 2

09/19/2012

Date

### **Signatures**

/s/ Janice L. Rodriguez, attorney-in-fact for Mr. Hayes

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock options.
- (2) Dividend reinvestment in Ball Corporation's 2000 Deferred Compensation Company Stock Plan.
- (3) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (4) Each unit may be settled for a single share of stock or the equivalent amount of cash pursuant to the Ball Corporation Deferred Compensation Company Stock Plan.
- (5) Dividend reinvestment in Ball Corporation Deferred Compensation Company Stock Plan.
- (6) Stock units in Ball Corporation's Deferred Compensation Company Stock Plan are distributed upon the separation of service in accordance with the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3