

WILLIAMS SONOMA INC
Form 3
March 15, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Whalen Julie

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

03/06/2012

3. Issuer Name and Ticker or Trading Symbol
WILLIAMS SONOMA INC [WSM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

3250 VAN NESS AVE.

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Acting Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

SAN

FRANCISCO, CA 94109

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

849

I

By Managed Account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Date Exercisable Expiration Date

Title Amount or Number of

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	Â (1)	Â (1)	Common Stock	8,750	\$ (2)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Stock	1,506	\$ (2)	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Stock	4,575	\$ (2)	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	3,045	\$ (2)	D	Â
Stock Settled Stock Appreciation Right (ssar)	Â (6)	04/05/2018	Common Stock	8,465	\$ 40.87	D	Â
Stock Settled Stock Appreciation Right (ssar)	Â (7)	11/07/2018	Common Stock	15,000	\$ 8.56	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Whalen Julie 3250 VAN NESS AVE. SAN FRANCISCO, CA 94109	Â	Â	Â Acting Chief Financial Officer	Â

Signatures

/s/ Laurel Pies, Attorney-in-Fact for Julie Whalen 03/15/2012

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These restricted stock units will vest on 3/25/2014.
- (2) Each restricted stock unit represents a contingent right to receive one share of WSM common stock.
- (3) These restricted stock units will vest on 4/10/2012.
- (4) These restricted stock units will vest on 5/2/2012.
- (5) These restricted stock units will vest in two parts: 1,522 units on 4/5/2013 and 1,523 units on 4/5/2015.
- (6) These stock appreciation rights will vest in four parts. 2,117 shares vest on 4/5/2012 and 2,116 shares vest for each of the following dates: 4/5/2013, 4/5/2014 and 4/5/2015.
- (7) These stock appreciation rights will vest on 11/7/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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