

Edgar Filing: SMITH DENNIS H - Form 4

SMITH DENNIS H
 Form 4
 November 09, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

FORM 4
 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

[] Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue.

1. Name and Address of Reporting Person(s)
 Smith, Dennis H.
 2140 Lake Park Blvd.
 Richardson, Texas 75080-2254
2. Issuer Name and Ticker or Trading Symbol
 Lennox International Inc. (LII)
3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)
4. Statement for Month/Year
 10/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
 President, Service Experts Inc
7. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1) Title of Security | 2) Trans- action Date (Month/ Day/Year) | 3) Trans- action Code Code V | 4) Securities Acquired (A) or Disposed of (D) A or D Price Amount | 5) Amount of Securities Beneficially Owned at End of Month | 6. Fo (D In (I |
|---|---|---------------------------------------|--|--|-------------------------|
| Common Stock, par value \$0.01 per share | 10/30/01 | P | 5,400 | A \$8.6500 5,400 | Di |

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Table II (PART 1) Derivative Securities Acquired, Disposed of, or Beneficially Owned (Columns 1

| 1) Title of Derivative Security | 2) Conversion or Exercise Price of Derivative Security | 3) Trans- action Date | 4) Trans- action Code Code V | 5) Number of Derivative Securities Acquired (A) or Disposed of (D) A D |
|------------------------------------|--|-----------------------------|---------------------------------------|---|
| Non-Qualified Stock Option | \$8.8400 | 10/24/01 | A V | 40,000.00 |

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(right to buy)

Table II (PART 2) Derivative Securities Acquired, Disposed of, or Beneficially Owned (Columns 1

| 1) Title of Derivative Security | 3) Transaction Date | 7) Title and Amount of Underlying Securities | Amount or Number of Shares | 8) Price of Derivative Security | 9) Number of Derivative Securities Beneficially Owned |
|---|---------------------|--|----------------------------|---------------------------------|---|
| Non-Qualified Stock Option (right to buy) | 10/24/01 | Common Stock, \$0.01 par value per share | 40,000.00 | | 40,000 |

SIGNATURE OF REPORTING PERSON
 /S/ By: Carl E. Edwards, Jr.
 For: Dennis H. Smith
 DATE 11/08/01