Edgar Filing: FIRST MIDWEST BANCORP INC - Form 4

FIRST MIDWEST BANCORP INC Form 4 December 12, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Newcom Jeff C Issuer Symbol FIRST MIDWEST BANCORP INC (Check all applicable) [FMBI] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) below) **ONE PIERCE PLACE, SUITE 1500** 12/08/2016 EVP and Chief Risk Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting ITASCA, IL 60143 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) any Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price 3,088 Common (1) 12/08/2016 Α A (2) 3,088 D (2) 4,117 Common (1) 12/08/2016 D A A <u>(3)</u> 4,117 (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	e of (Mo		b. Date Exercisable and Expiration Date Month/Day/Year)		le and int of rlying ities . 3 and 4)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Newcom Jeff C ONE PIERCE PLACE, SUITE 1500 ITASCA, IL 60143			EVP and Chief Risk Officer					
Signatures								
/s/ Andrea L. Stangl, Attorney-in-fact Newcom	for Jeff C	· · ·	12/12/2016					
<u>**</u> Signature of Reporting Person			Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award granted pursuant to the Amended and Restated First Midwest Bancorp, Inc. Omnibus Stock and Incentive Plan.
- The number of shares represented by this award was determined by dividing the dollar value of the award granted to the Reporting Person(2) by \$24.29 (the average high and low sale price of one share of the Company's common stock on the date of grant). This award will vest in full on the 6 month anniversary from the date of grant.

The number of shares represented by this award was determined by dividing the dollar value of the award granted to the Reporting Person by \$24.29 (the average high and low sale price of one share of the Company's common stock on the date of grant). This award will vest in

(3) by \$24.29 (the average high and low safe pilee of one share of the Company's common stock on the date of grant). This award will vest in 2 equal installments, 50% on the first anniversary from the date of grant and the remaining 50% on the second anniversary from the date of grant.

Remarks:

Exhibit List - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.