Summit Midstream Partners, LP Form 4

Form 4 June 20, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

5 Relationship of Reporting Person(s) to

29,703,421

151,160

 $I^{(5)}$ 

 $I^{(5)}$ 

3235-0287 January 31,

Expires: January 31, 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations
may continue.

See Instruction

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2 Jaguar Nama and Tiakar or Tradina

30(h) of the Investment Company Act of 1940

1(b).

**UNITS** 

(LIMITED

**PARTNER** 

**INTERESTS**)

(Print or Type Responses)

ENERGY CAPITAL PARTNERS II,			Symbol Summit Midstream Partners, LP [SMLP]					Issuer (Check all applicable)				
(Last) 51 JOHN F. KI PARKWAY, S		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/16/2016				_	X DirectorX 10% Owner Officer (give title Other (specify below) See Footnotes				
	(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person				
SHORT HILLS, NJ 07078			_X_ Form filed b Person					. –	More than One Reporting			
(City)	(State)	(Zip)	Table I	- Non-Deri	vative Sec	uritie	es Acqui	red, Disposed of	, or Beneficia	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/	Year) Exec	Deemed ution Date, if ath/Day/Year)	Code (Instr. 8)	on(A) or Di (D) (Instr. 3,	spose	od of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
COMMON UNITS (LIMITED PARTNER INTERESTS)	06/16/2016	ó		P P	8,590 (1)	A	\$ 20.9 (2) (3)	5,915,827	I (4)	BY: SMLP HOLDINGS, LLC		
COMMON										BY: SUMMIT		

**MIDSTREAM** 

**PARTNERS** 

HOLDINGS,

LLC

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**COMMON UNITS** (LIMITED **PARTNER INTERESTS**) **BY: SUMMIT MIDSTREAM** PARTNERS,

LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. orNumber	6. Date Exerc Expiration D		7. Tit		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Year)	Secur	rlying ities . 3 and 4)	Security (Instr. 5)	Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Nauress	Director	10% Owner	Officer	Other		
ENERGY CAPITAL PARTNERS II, LLC 51 JOHN F. KENNEDY PARKWAY SUITE 1250 SHORT HILLS, NJ 07078	X	X		See Footnotes		
ENERGY CAPITAL PARTNERS II, LP 51 JOHN F. KENNEDY PARKWAY SUITE 1250 SHORT HILLS, NJ 07078		X				
ENERGY CAPITAL PARTNERS II-A, LP 51 JOHN F. KENNEDY PARKWAY SUITE 1250 SHORT HILLS, NJ 07078		X				
		X				

Reporting Owners 2 ENERGY CAPITAL PARTNERS II-B IP, LP 51 JOHN F. KENNEDY PARKWAY SUITE 1250 SHORT HILLS, NJ 07078

ENERGY CAPITAL PARTNERS II-C (SUMMIT IP), LP 51 JOHN F. KENNEDY PARKWAY SUITE 1250

X

SHORT HILLS, NJ 07078

Energy Capital Partners II (Summit Co-Invest), LP 51 JOHN F. KENNEDY PARKWAY SUITE 1250 SHORT HILLS, NJ 07078

X

#### **Signatures**

Energy Capital Partners II, LP By: Energy Capital Partners GP II, LP Its: General Partner By: Energy Capital Partners II, LLC Its: General Partner By: /s/ Enoch O. Varner Title: Counsel

06/20/2016

\*\*Signature of Reporting Person

Date

Energy Capital Partners II-A, LP By: Energy Capital Partners GP II, LP Its: General Partner By: Energy Capital Partners II, LLC Its: General Partner By: /s/ Enoch O. Varner Title: Counsel

06/20/2016

\*\*Signature of Reporting Person

Date

Energy Capital Partners II-B IP, LP By: Energy Capital Partners GP II, LP Its: General Partner By: Energy Capital Partners II, LLC Its: General Partner By: /s/ Enoch O. Varner Title: Counsel

06/20/2016

\*\*Signature of Reporting Person

Date

Energy Capital Partners II-C (Summit IP), LP By: Energy Capital Partners GP II, LP Its: General Partner By: Energy Capital Partners II, LLC Its: General Partner By: /s/ Enoch O. Varner Title: Counsel

06/20/2016

\*\*Signature of Reporting Person

Date

Energy Capital Partners II (Summit Co-Invest), LP By: Energy Capital Partners GP II Co-Investment (Summit), LLC Its: General Partner By: Energy Capital Partners II, LLC Its: Managing Member By: /s/ Enoch O. Varner Title: Counsel

06/20/2016

\*\*Signature of Reporting Person

Date

Energy Capital Partners II, LLC By: /s/ Enoch O. Varner Title: Counsel

06/20/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units were purchased pursuant to a 10b5-1 plan entered into on December 15, 2015.
- The price reported in Column 4 is a weighted average price. The Reporting Persons undertake to provide to the Issuer, any security holder (2) of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of common units purchased at each separate price within the ranges set forth in footnote 3.
- (3) These common units were purchased in multiple transactions ranging from \$20.72 to \$21.00, inclusive.

Signatures 3

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- Energy Capital Partners II, LP ("ECP II") and certain of its parallel funds (collectively, the "SMLP Holdings Owners") collectively hold all of the membership interests in SMLP Holdings, LLC("SMLP Holdings"). Energy Capital Partners II, LLC ("ECP") indirectly controls
- (4) the SMLP Holdings Owners. Accordingly, ECP and the SMLP Holdings Owners may be deemed to indirectly beneficially own the 5,915,827 common units held by SMLP Holdings but disclaim beneficial ownership except to the extent of their pecuniary interest therein.
  - ECP indirectly controls ECP II, Energy Capital Partners II-A, LP ("ECP II-A"), Energy Capital Partners II-B IP, LP ("ECP II-B"), Energy Capital Partners II-C (Summit IP), LP ("ECP II-C") and Energy Capital Partners II (Summit Co-Invest), LP ("ECP Summit Co-Invest" and together with ECP II, ECP II-B and ECP II-C, the "ECP Funds"), which collectively hold more than a majority of the
- membership interests in Summit Midstream Partners, LLC ("Summit") and are entitled to appoint all the directors of Summit. Summit is the sole member of Summit Midstream Partners Holdings, LLC ("SMPH") and may be deemed to have indirect beneficial ownership of the 29,703,421 common units held by SMPH in addition to the 151,160 common units held directly. Accordingly, ECP and the ECP Funds may be deemed to indirectly beneficially own the 29,854,581 common units held by Summit and SMPH but disclaim beneficial ownership except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.