ENVESTNET, INC. Form 4

April 28, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SECURITIES

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

OMB APPROVAL

OMB Number:

3235-0287

Expires:

January 31, 2005

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response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner Other (specify

0.5

(Print or Type Responses)

1. Name and Address of Reporting Person * CROWELL GAYLE A

2. Issuer Name and Ticker or Trading Symbol

ENVESTNET, INC. [ENV]

(First) (Middle) (Last) 3. Date of Earliest Transaction

(Month/Day/Year) 35 EAST WACKER DRIVE, SUITE 04/26/2016

4. If Amendment, Date Original (Street)

Filed(Month/Day/Year)

3.

6. Individual or Joint/Group Filing(Check

Applicable Line) _X_ Form filed by One Reporting Person

4. Securities

Form filed by More than One Reporting

Issuer

below)

X_ Director

Officer (give title

CHICAGO, IL 60601

2400

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

Beneficially (Instr. 3, 4 and 5) Owned Following (A)

Reported Transaction(s) (Instr. 3 and 4)

5. Amount of

Securities

Price Code V Amount (D) Common 04/26/2016

 $M^{(1)}$ \$0 801 12,245

or

Common Stock

Stock

1.983

By trust (6)

6. Ownership 7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

Form: Direct

Indirect (I)

(Instr. 4)

(D) or

D

I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	(2)	04/26/2016		A	3,204		<u>(3)</u>	04/26/2019	Common Stock	3,204
Restricted Stock Unit	(2)	04/26/2016		M		801	<u>(4)</u>	04/26/2019	Common Stock	801

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
CROWELL GAYLE A 35 EAST WACKER DRIVE SUITE 2400 CHICAGO, IL 60601	X						

Signatures

/s/ Shelly O'Brien, by power of attorney for Gayle Crowell 04/28/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion upon vesting of restricted stock unit into common stock. The reporting person was granted 3,204 restricted stock units on April 26, 2016, of which 25% (801 shares) subject to the restricted stock units of the grant vested on April 26, 2016.
- (2) Each restricted stock unit is the economic equivalent of one share of Envestment, Inc. Common Stock.
- (3) This restricted stock unit vests over a 3 year period, 25% of the total amount vests on the date of the grant of restricted stock; and then 25% on each of first three anniversaries of the Grant Date (April 26, 2016).
- The reporting person was granted 3,204 restricted stock units on April 26, 2016, of which 25% (801 shares) subject to the restricted stock units of the grants vested on April 26, 2016. The common stock into which such vested restricted stock units converted on April 26, 2016 is reported in Table I on this Form 4. The remaining unvested restricted stock units will continue to vest as to 25% on each of first three anniversaries of the Grant Date (April 26, 2016).
- (5) Each restricted stock award represents the contingent right to receive one share of common stock upon vesting of the unit.
- (6) The shares are held directly by a trust of which the reporting person is a trustee and beneficial owner.

Reporting Owners 2

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