

NATURAL HEALTH TRENDS CORP

Form 4

February 22, 2016

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Jane Eleanor Broady 2012  
Irrevocable Trust

(Last) (First) (Middle)

751 CANYON DRIVE, SUITE 100

(Street)

COPPELL, TX 75019

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NATURAL HEALTH TRENDS  
CORP [NHTC]

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/11/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
				Code	V	Amount				(A) or (D)
Common Stock	06/11/2014		G			20,000	D	\$ 0	2,399,750	D
Common Stock	09/03/2014		G			4,250	D	\$ 0	2,395,500	D
Common Stock	09/29/2014		G			35,000	D	\$ 0	2,360,500	D
Common Stock	11/18/2014		C			61,693	A	Ⓣ	2,422,193	D
Common Stock	12/03/2014		D			14,565	D	\$ 13.23	2,407,628	D

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Common Stock	02/18/2015	G	2,500	D	\$ 0	2,405,128	D
Common Stock	04/14/2015	G	10,000	D	\$ 0	2,395,128	D
Common Stock	05/20/2015	S	4,745	D	\$ 33	2,390,383	D
Common Stock	05/21/2015	S	75,081	D	\$ 30.16	2,315,302	D
Common Stock	05/21/2015	S	50,000	D	\$ 33.2	2,265,302	D
Common Stock	05/22/2015	S	20,174	D	\$ 34.71	2,245,128	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Series A Convertible Stock	(1)	11/18/2014		C	61,693	(1) (1)	Common Stock	61,693

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jane Eleanor Broady 2012 Irrevocable Trust 751 CANYON DRIVE, SUITE 100 COPPELL, TX 75019		X		
Steinbrunner Magdalena		X		

751 CANYON DRIVE, SUITE 100  
COPPELL, TX 75019

Broady George Vincent  
751 CANYON DRIVE, SUITE 100 X  
COPPELL, TX 75019

Broady John Marvin  
751 CANYON DRIVE, SUITE 100 X  
COPPELL, TX 75019

## Signatures

/s/ Magdalena Steinbrunner, by power of attorney 02/22/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  

Conversion, exempt pursuant to Rule 16b-6, of Series A Convertible Preferred Stock into NHTC Common Stock on a 1-for-1 basis; the Series A Convertible Preferred Stock had no expiration date. The Series A Convertible Preferred Stock was subject to mandatory conversion because the average closing price of NHTC Common Stock over a consecutive 6-month period equaled or exceeded \$10.00 per share.

### Remarks:

Magdalena Steinbrunner, Geoge Vincent Broady and John Marvin Broady are no longer subject to Section 16 but The Jane El

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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