

Hilltop Holdings Inc.  
Form 4  
July 21, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FORD GERALD J

(Last) (First) (Middle)

200 CRESCENT COURT, SUITE 1350

(Street)

DALLAS, TX 75201

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Hilltop Holdings Inc. [HTH]

3. Date of Earliest Transaction (Month/Day/Year)  
07/17/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    |                                | (A) or (D)<br>Code V Amount (D) Price                         |                                                                                               |                                                          |                                                       |
| Common Stock                    | 07/17/2015                           |                                                    | A                              | 498 <sup>(1)</sup> A \$ 24.05 <sub>(2)</sub>                  | 9,569                                                                                         | D                                                        |                                                       |
| Common Stock                    | 07/17/2015                           |                                                    | G                              | V 498 <sup>(3)</sup> D \$ 0                                   | 9,071                                                                                         | D                                                        |                                                       |
| Common Stock                    | 07/20/2015                           |                                                    | G                              | V 1,205 <sup>(3)</sup> D \$ 0                                 | 7,866                                                                                         | D                                                        |                                                       |
| Common Stock                    | 07/17/2015                           |                                                    | G                              | V 498 <sup>(3)</sup> A \$ 0                                   | 498 <sup>(4)</sup>                                                                            | I                                                        | By trust                                              |
| Common Stock                    | 07/20/2015                           |                                                    | G                              | V 1,205 <sup>(3)</sup> A \$ 0                                 | 1,703 <sup>(4)</sup>                                                                          | I                                                        | By trust                                              |



## Edgar Filing: Hilltop Holdings Inc. - Form 4

- (2) Price per share calculated using average closing price per share for the period from June 17, 2015 to June 30, 2015.
- (3) The reporting person gifted these shares to the Turtle Creek Revocable Trust, a revocable trust for the benefit of the members of his family (the "Trust").
- (4) Represents securities owned directly by the Trust and indirectly by the reporting person as the settlor of the Trust. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.  
Represents securities owned directly by Diamond A Financial, LP and indirectly by the reporting person as a general partner of Diamond
- (5) A Financial, LP. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.