

Radius Health, Inc.
Form SC 13D/A
June 16, 2014

SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13D

[Rule 13d-101]

**INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT
TO § 240.13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO**

§ 240.13d-2(a)

(Amendment No. 1)*

Radius Health, Inc.

(Name of Issuer)

Common Stock, \$0.0001 par value

(Title of Class of Securities)

750469 108

(CUSIP Number)

Mr. Nicholas Harvey

201 Broadway, 6th Floor

Cambridge, MA 02139

Tel: (617) 551-4700

(Name, Address and Telephone Number of Person
Authorized to Receive Notices and Communications)

Edgar Filing: Radius Health, Inc. - Form SC 13D/A

June 6, 2014

(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box. o

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See § 240.13d-7 for other parties to whom copies are to be sent.

(Continued on following page)

* The remainder of this cover page shall be filled out for a reporting person's filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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1 Names of Reporting Persons
Alwyn Michael Parfitt, M.D.

2 Check the Appropriate Box if a Member of a Group
(a)
(b)

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

6 Citizenship or Place of Organization
United Kingdom

	7	Sole Voting Power 122
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 122
	10	Shared Dispositive Power 0

11 Aggregate Amount Beneficially Owned by Each Reporting Person
122 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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1 Names of Reporting Persons
Barnette Pitzele

2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
United States of America

	7	Sole Voting Power 116
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 116
	10	Shared Dispositive Power 0

11 Aggregate Amount Beneficially Owned by Each Reporting Person
116(1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
Bart Henderson
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|--|
| | 7 | Sole Voting Power
13,363 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
13,363 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
13,363 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
Benjamin C. Lane
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
3,563 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
3,563 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
3,563 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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1 Names of Reporting Persons
Benita S. Katzenellenbogen, PhD

2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
United States of America

	7	Sole Voting Power
		82
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power
		0
	9	Sole Dispositive Power
		82
	10	Shared Dispositive Power
		0

11 Aggregate Amount Beneficially Owned by Each Reporting Person
82 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
Board of Trustees of the University of Arkansas
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
Arkansas, United States of America
- | | | |
|---|----|-----------------------------------|
| | 7 | Sole Voting Power
0 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
7,602 |
| | 9 | Sole Dispositive Power
0 |
| | 10 | Shared Dispositive Power
7,602 |
- 11 Aggregate Amount Beneficially Owned by Each Reporting Person
7,602 (1)
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
OO - Board of Trustees

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
The Breining Family Trust dated August 15, 2003
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
California, United States of America
- | | | |
|---|----|-----------------------------------|
| | 7 | Sole Voting Power
0 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
2,177 |
| | 9 | Sole Dispositive Power
0 |
| | 10 | Shared Dispositive Power
2,177 |
- 11 Aggregate Amount Beneficially Owned by Each Reporting Person
2,177 (1)
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
OO - Trust

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
Cecil Richard Lyttle
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
Canada
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
335,242 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
335,242 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
335,242 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
1.1%(1)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

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1 Names of Reporting Persons
Chris Glass

2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
United States of America

	7	Sole Voting Power 584
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 584
	10	Shared Dispositive Power 0

11 Aggregate Amount Beneficially Owned by Each Reporting Person
584 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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1 Names of Reporting Persons
Christopher Miller

2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
United States of America

	7	Sole Voting Power 28,005
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 28,005
	10	Shared Dispositive Power 0

11 Aggregate Amount Beneficially Owned by Each Reporting Person
28,005 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
0.1%(1)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

- 1 Names of Reporting Persons
The David E. Thompson Revocable Trust
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
Indiana, United States of America
- | | | |
|---|----|------------------------------------|
| | 7 | Sole Voting Power
0 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
10,573 |
| | 9 | Sole Dispositive Power
0 |
| | 10 | Shared Dispositive Power
10,573 |
- 11 Aggregate Amount Beneficially Owned by Each Reporting Person
10,573 (1)
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
OO - Trust

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
Dotty McIntyre
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
390 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
390 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
390 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
Dr. Dennis A. Carson
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
233 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
233 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
233 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
H. Watt Gregory III
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
7,146 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
7,146 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
7,146 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
H2 Enterprises, LLC
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
Arkansas, United States of America
- | | | |
|---|----|-----------------------------------|
| | 7 | Sole Voting Power
0 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
2,247 |
| | 9 | Sole Dispositive Power
0 |
| | 10 | Shared Dispositive Power
2,247 |
- 11 Aggregate Amount Beneficially Owned by Each Reporting Person
2,247 (1)
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
OO - Limited Liability Company

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

1 Names of Reporting Persons
Hostetler Family Trust UTD 3/18/92

2 Check the Appropriate Box if a Member of a Group
(a)
(b)

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

6 Citizenship or Place of Organization
California, United States of America

	7	Sole Voting Power 0
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 3,594
	9	Sole Dispositive Power 0
	10	Shared Dispositive Power 3,594

11 Aggregate Amount Beneficially Owned by Each Reporting Person
3,594(1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
OO - Trust

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

1 Names of Reporting Persons
John Katzenellenbogen, PhD

2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
United States of America

	7	Sole Voting Power 6,853
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 6,853
	10	Shared Dispositive Power 0
	11	Aggregate Amount Beneficially Owned by Each Reporting Person 6,853 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
John A. Katzenellenbogen Trust Under Agreement Dated August 2, 1999
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
Illinois, United States of America
- | | | |
|--------------|----|--------------------------|
| | 7 | Sole Voting Power |
| | | 0 |
| Number of | 8 | Shared Voting Power |
| Shares | | 17,735 |
| Beneficially | 9 | Sole Dispositive Power |
| Owned by | | 0 |
| Each | 10 | Shared Dispositive Power |
| Reporting | | 17,735 |
| Person With | | |
- 11 Aggregate Amount Beneficially Owned by Each Reporting Person
17,735 (1)
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
0.1%(1)
- 14 Type of Reporting Person
OO - Trust

(1) See Items 3 and 5.

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1 Names of Reporting Persons
 Dr. John Potts, Jr and Susanne K. Potts Irrevocable Trust for Stephen K. Potts dated 6-15-05

2 Check the Appropriate Box if a Member of a Group
 (a)
 (b)

3 SEC Use Only

4 Source of Funds
 OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

6 Citizenship or Place of Organization
 Massachusetts, United States of America

	7	Sole Voting Power 0
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 8,899
	9	Sole Dispositive Power 0
	10	Shared Dispositive Power 8,899

11 Aggregate Amount Beneficially Owned by Each Reporting Person
 8,899 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares

13 Percent of Class Represented by Amount in Row 11
 (2)

14 Type of Reporting Person
 OO - Trust

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
John Thomas Potts, M.D.
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|--|
| | 7 | Sole Voting Power
32,560 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
32,560 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
32,560 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
0.1%(1)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

1 Names of Reporting Persons
Jonathan Guerriero

2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
United States of America

	7	Sole Voting Power 6,432
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 6,432
	10	Shared Dispositive Power 0
	11	Aggregate Amount Beneficially Owned by Each Reporting Person 6,432 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
Jonnie K. Westbrook Revocable Trust dated March 17, 2000
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
Arkansas, United States
- | | | |
|---|----|---------------------------------|
| | 7 | Sole Voting Power
0 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
159 |
| | 9 | Sole Dispositive Power
0 |
| | 10 | Shared Dispositive Power
159 |
- 11 Aggregate Amount Beneficially Owned by Each Reporting Person
159 (1)
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
OO - Trust

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

1 Names of Reporting Persons
Julie Glowacki

2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
United States of America

	7	Sole Voting Power 40
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 40
	10	Shared Dispositive Power 0
	11	Aggregate Amount Beneficially Owned by Each Reporting Person 40 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
Kathy Welch
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
3,969 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
3,969 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
3,969 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

1 Names of Reporting Persons
Kelly Colbourn

2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
United States of America

	7	Sole Voting Power 44
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 44
	10	Shared Dispositive Power 0

11 Aggregate Amount Beneficially Owned by Each Reporting Person
44 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
E. Kelly Sullivan
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
410 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
410 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
410 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

1 Names of Reporting Persons
The Kent C. Westbrook Revocable Trust, Dated March 17, 2000

2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
Arkansas, United States of America

	7	Sole Voting Power
		0
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power
		20
	9	Sole Dispositive Power
		0
	10	Shared Dispositive Power
		20

11 Aggregate Amount Beneficially Owned by Each Reporting Person
20 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
Louis O Dea
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
Canada
- | | | |
|---|----|--|
| | 7 | Sole Voting Power
84,687 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
84,687 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
84,687 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
0.3%(1)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

1 Names of Reporting Persons
 Maria Grunwald

2 Check the Appropriate Box if a Member of a Group
 (a) o
 (b) x

3 SEC Use Only

4 Source of Funds
 OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
 United States of America

	7	Sole Voting Power 5,979
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 5,979
	10	Shared Dispositive Power 0
	11	Aggregate Amount Beneficially Owned by Each Reporting Person 5,979 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
 (2)

14 Type of Reporting Person
 IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
Maysoun Shomali
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
1,045 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
1,045 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
1,045 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
Michael Rosenblatt, M.D.
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|----------------------------------|
| | 7 | Sole Voting Power
19,650 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
19,650 |
| | 10 | Shared Dispositive Power
0 |
- 11 Aggregate Amount Beneficially Owned by Each Reporting Person
19,650 (1)
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
0.1%(1)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

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- 1 Names of Reporting Persons
Brian Nicholas Harvey
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|-----------------------------------|
| | 7 | Sole Voting Power
104,761 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
104,761 |
| | 10 | Shared Dispositive Power
0 |
- 11 Aggregate Amount Beneficially Owned by Each Reporting Person
104,761 (1)
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
0.4%(1)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

1 Names of Reporting Persons
Charles O Brien

2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
United States of America

	7	Sole Voting Power 61
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 61
	10	Shared Dispositive Power 0

11 Aggregate Amount Beneficially Owned by Each Reporting Person
61 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
Patricia E. Rosenblatt
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|--|
| | 7 | Sole Voting Power
18,871 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
18,871 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
18,871 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
0.1%(1)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

- 1 Names of Reporting Persons
Dr. Raymond F. Schinazi
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|--|
| | 7 | Sole Voting Power
40,744 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
40,744 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
40,744 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
0.1%(1)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

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- 1 Names of Reporting Persons
Robert L. Jilka, PhD
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
250 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
250 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
250 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
Robert S. Weinstein, M.D.
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
184 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
184 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
184 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
Ruff Trust dated 1-1-02
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
Arkansas, United States of America
- | | | |
|---|----|-----------------------------------|
| | 7 | Sole Voting Power
0 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
2,406 |
| | 9 | Sole Dispositive Power
0 |
| | 10 | Shared Dispositive Power
2,406 |
- 11 Aggregate Amount Beneficially Owned by Each Reporting Person
2,406 (1)
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
OO - Trust

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
Samuel Ho
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
Canada
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
365 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
365 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
365 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

1 Names of Reporting Persons
Socrates E. Papapoulos, M.D.

2 Check the Appropriate Box if a Member of a Group
(a)
(b)

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

6 Citizenship or Place of Organization
Netherlands

	7	Sole Voting Power 40
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 40
	10	Shared Dispositive Power 0

11 Aggregate Amount Beneficially Owned by Each Reporting Person
40 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
Stavros C. Manolagas
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|--|
| | 7 | Sole Voting Power
39,929 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
39,929 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
39,929 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
0.1%(1)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

- 1 Names of Reporting Persons
Stavroula Kousteni, PhD
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
Greece
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
184 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
184 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
184 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

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- 1 Names of Reporting Persons
Teresita M. Bellido, PhD
- 2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o
- 6 Citizenship or Place of Organization
United States of America
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
102 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
0 |
| | 9 | Sole Dispositive Power
102 |
| | 10 | Shared Dispositive Power
0 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
102 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
The Richman Trust dated 2/6/83
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
California, United States of America
- | | | |
|---|----|---|
| | 7 | Sole Voting Power
0 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
3,907 |
| | 9 | Sole Dispositive Power
0 |
| | 10 | Shared Dispositive Power
3,907 |
| | 11 | Aggregate Amount Beneficially Owned by Each Reporting Person
3,907 (1) |
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
(2)
- 14 Type of Reporting Person
OO - Trust

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

1 Names of Reporting Persons
Thomas E. Sparks, Jr.

2 Check the Appropriate Box if a Member of a Group
(a) o
(b) x

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) o

6 Citizenship or Place of Organization
United States of America

	7	Sole Voting Power 387
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 387
	10	Shared Dispositive Power 0
	11	Aggregate Amount Beneficially Owned by Each Reporting Person 387 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares o

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

1 Names of Reporting Persons
Tonya D. Goss (formerly Smith)

2 Check the Appropriate Box if a Member of a Group
(a)
(b)

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

6 Citizenship or Place of Organization
United States of America

	7	Sole Voting Power 28
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 0
	9	Sole Dispositive Power 28
	10	Shared Dispositive Power 0

11 Aggregate Amount Beneficially Owned by Each Reporting Person
28 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares

13 Percent of Class Represented by Amount in Row 11
(2)

14 Type of Reporting Person
IN

(1) See Items 3 and 5.

(2) Represents less than 0.1%.

- 1 Names of Reporting Persons
C.C. Consulting A/S
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
Denmark
- | | | |
|---|----|---------------------------------------|
| | 7 | Sole Voting Power
0 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
3,023,712 |
| | 9 | Sole Dispositive Power
0 |
| | 10 | Shared Dispositive Power
3,023,712 |
- 11 Aggregate Amount Beneficially Owned by Each Reporting Person
3,023,712 (1)
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
10.4%(1)
- 14 Type of Reporting Person
OO

(1) See Items 3 and 5.

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1 Names of Reporting Persons
Claus Christiansen, MD

2 Check the Appropriate Box if a Member of a Group
(a)
(b)

3 SEC Use Only

4 Source of Funds
OO

5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

6 Citizenship or Place of Organization
Denmark

	7	Sole Voting Power 0
Number of Shares Beneficially Owned by Each Reporting Person With	8	Shared Voting Power 3,023,712
	9	Sole Dispositive Power 0
	10	Shared Dispositive Power 3,023,712

11 Aggregate Amount Beneficially Owned by Each Reporting Person
3,023,712 (1)

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares

13 Percent of Class Represented by Amount in Row 11
10.4%(1)

14 Type of Reporting Person
IN

(1) See Items 3 and 5. Claus Christiansen, MD, and Bente Riis Christiansen each own 50% of C.C. Consulting A/S (C.C. Consulting) and share all voting and investment power with respect to these shares. Each of C.C. Consulting, Dr. Claus Christiansen and Messr. Bente Riis Christiansen disclaim beneficial ownership of the shares except to the extent of their pecuniary interest therein.

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- 1 Names of Reporting Persons
Bente Riis Christiansen
- 2 Check the Appropriate Box if a Member of a Group
(a)
(b)
- 3 SEC Use Only
- 4 Source of Funds
OO
- 5 Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)
- 6 Citizenship or Place of Organization
Denmark
- | | | |
|---|----|---------------------------------------|
| | 7 | Sole Voting Power
0 |
| Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With | 8 | Shared Voting Power
3,023,712 |
| | 9 | Sole Dispositive Power
0 |
| | 10 | Shared Dispositive Power
3,023,712 |
- 11 Aggregate Amount Beneficially Owned by Each Reporting Person
3,023,712 (1)
- 12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares
- 13 Percent of Class Represented by Amount in Row 11
10.4%(1)
- 14 Type of Reporting Person
IN

(1) See Items 3 and 5. Claus Christiansen, MD, and Bente Riis Christiansen each own 50% of C.C. Consulting A/S (C.C. Consulting) and share all voting and investment power with respect to these shares. Each of C.C. Consulting, Dr. Claus Christiansen and Messr. Bente Riis Christiansen disclaim beneficial ownership of the shares except to the extent of their pecuniary interest therein.

EXPLANATORY NOTE

This Amendment No. 1 to Schedule 13D (this Amendment No. 1) amends and supplements the Statement on Schedule 13D filed by the Reporting Persons (as defined below) on January 27, 2012, as amended prior to the date hereof (the Initial Statement, and as amended hereby, this Statement), with respect to the common stock, par value \$0.0001 per share (the Common Stock), of Radius Health, Inc., a Delaware corporation (the Issuer). This Amendment is being filed to report the dissolution of the group comprised of the Reporting Persons and the Separately Filing Group Members (as defined below), as further described in Item 5. This Amendment also updates certain information included in the Initial Statement.

Except for the amounts of shares of Common Stock and Preferred Stock (as defined below) discussed in Item 3 herein, and unless otherwise noted, all shares of Issuer securities reported in this Amendment give effect to the one-for-2.28 reverse stock split effected by the Issuer on April 24, 2014 and the closing of the Issuer's initial public offering on June 11, 2014, including the conversion of all outstanding shares of preferred stock, par value \$0.0001 per share (the Preferred Stock), of the Issuer, if any, held by the Reporting Persons, into shares of Common Stock.

Except as otherwise provided herein, each Item of the Initial Statement remains unchanged.

Item 2. Identity and Background.

Item 2 is hereby amended and restated in its entirety as follows:

Each of the following is hereinafter individually referred to as a Reporting Person and collectively as the Reporting Persons. This statement is filed on behalf of:

Alwyn Michael Parfitt, M.D.

Barry Pitzele

Bart Henderson

Ben Lane

Benita S. Katzenellenbogen, Ph.D.

Board of Trustees of the University of Arkansas

Breining Family Trust

Chris Glass

Christopher Miller

David E. Thompson Revocable Trust

Dotty McIntyre, RA (Paquin)

Dr. Dennis A. Carson

H. Watt Gregory, III

H2 Enterprises, LLC

Hostetler Family Trust

John Katzenellenbogen

John Katzenellenbogen Trust

John Thomas Potts Trust

John Thomas Potts, Jr.

Jonathan Guerriero

Jonnie K. Westbrook Revocable Trust dated March 17, 2000

Julianne Glowacki, Ph.D.

Kathy Welch

Kelly Colbourn

Kelly Sullivan

The Kent C. Westbrook Revocable Trust, Dated March 17, 2000

Louis O Dea

Maria Grunwald

Maysoun Shomali

Michael Rosenblatt

Nick Harvey

Charles O Brien

Patricia Rosenblatt

Raymond F. Schinazi

Richard Lyttle

Robert L. Jilka, Ph.D.

Robert S. Weinstein, M.D.

Ruff Trust

Samuel Ho

Socrates E. Papapoulos, M.D.

Stavros C. Manolagas

Stavroula Kousteni, Ph.D.

Teresita M. Bellido, Ph.D.

The Richman Trust

Thomas E. Sparks, Jr.

Tonya D. Goss (formerly Smith)

C.C. Consulting A/S

Claus Christiansen, MD

Bente Riis Christiansen

The address, the present principal occupation or employment, and the state of citizenship or organization for each of the Reporting Persons can be found on Schedule A, the contents of which are incorporated herein to this Item 2 by reference.

During the last five years, none of the Reporting Persons (i) have been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) or (ii) was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction and as a result of such proceeding was or is subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violation with respect to such laws.

Prior to June 6, 2014 (the Effective Date), the Reporting Persons were parties to a certain agreement (the Prior Stockholders Agreement) with the other persons referred to on Schedule B attached to this Amendment No. 1 (the Separately Filing Group Members), which contained, among other things, certain director election provisions and price-based transfer restrictions on the shares of Common Stock held by Reporting Persons. As a result, the Reporting Persons may have belonged to a group, within the meaning of Section 13(d)(3) of the Act, comprised of the Reporting Persons and the Separately Filing Group Members. It is the understanding of the Reporting Persons that the Separately Filing Group Members have filed or are filing separate Amendments to Schedule 13Ds pursuant to Rule 13d-1(k)(2) under the Act. Ownership information of the

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Separately Filing Group Members is or will be reflected in the separate filings made by the Separately Filing Group Members.

On April 24, 2014, the Issuer, the Reporting Persons and the Separately Filing Group Members entered into a Fifth Amended and Restated Stockholders Agreement (the New Stockholders Agreement), which became effective on the Effective Date and amended and restated in its entirety the Prior Stockholders Agreement. The New Stockholders Agreement eliminated the aforementioned voting agreements and limitations on the sale of the shares of Common Stock held by the Reporting Persons and the Separately Filing Group Members. With the termination of such agreements, any group within the meaning of Section 13(d)(3) of the Act that may have been deemed to have been formed among the Reporting Persons and the Separately Filing Group Members has been terminated, effective as of the Effective Date. Each Reporting Person expressly disclaims membership in any such group and beneficial ownership of any securities beneficially owned by any other Reporting Person (other than as reported herein) or Separately Filing Group Member. Any further filings with respect to securities of the Issuer, if required, shall be filed by each Reporting Person separately or jointly with their affiliates. The Reporting Persons are filing a Notice of Dissolution of Group as Exhibit 6 attached hereto.

Item 3. Source and Amount of Funds or Other Consideration.

Item 3 is hereby amended and restated in its entirety as follows:

Pursuant to an Agreement and Plan of Merger dated April 25, 2011 (the Merger Agreement), by and among the Issuer (under its former name of MPM Acquisition Corp.), RHI Merger Corp., a Delaware corporation and wholly owned subsidiary of the Company (MergerCo), and Radius Health, Inc., a Delaware corporation (the Former Operating Company), MergerCo merged with and into the Former Operating Company, with the Former Operating Company remaining as the surviving entity and a wholly-owned operating subsidiary of the Issuer. This

transaction is referred to as the Merger. The Merger was effective as of May 17, 2011, upon the filing of a certificate of merger with the Delaware Secretary of State. At the effective time of the Merger (the Effective Time), the legal existence of MergerCo ceased and all of the shares of the Former Operating Company's common stock, par value \$.01 per share (the Former Operating Company Common Stock), and shares of the Former Operating Company's preferred stock, par value \$.01 per share (the Former Operating Company Preferred Stock), that were outstanding immediately prior to the Merger were cancelled and each outstanding share of Former Operating Company Common Stock outstanding immediately prior to the Effective Time was automatically converted into the right to receive one share of the Issuer's Common Stock and each outstanding share of Former Operating Company Preferred Stock outstanding immediately prior to the Effective Time was automatically converted into the right to receive one-tenth of one share of the Issuer's Preferred Stock as consideration for the Merger. Each share of the Preferred Stock was initially convertible at the time of issuance thereof into ten (10) shares of the Common Stock.

Pursuant to a Stock Issuance Agreement (as defined below), Nordic Bioscience Clinical Development VII A/S (Nordic) agreed, on March 29, 2011, to purchase the equivalent of 371,864 of Series A-5 Preferred Stock of the Former Operating Company at \$8.142 per share, and the Former Operating Company sold 64,430 shares of its Series A-5 Preferred Stock to Nordic on May 17, 2011 for proceeds of \$525,154. These shares were exchanged in the Merger for an aggregate of 6,443 shares of the Issuer's Series A-5 Convertible Stock, par value \$0.0001 per share (the Series A-5 Stock).

Following the Merger on May 17, 2011, the Board approved a transaction pursuant to which the Former Operating Company merged with and into the Issuer, leaving the Issuer as the surviving corporation (the Short-Form Merger). In connection with the Short-Form Merger, the Issuer relinquished its corporate name and assumed in its place the name Radius Health, Inc. The Short-Form Merger and name change became effective on May 17, 2011, upon the filing of a Certificate of Ownership and Merger with the Delaware Secretary of State.

On November 18, 2011, pursuant to the terms of that certain Series A-1 Convertible Preferred Stock Purchase Agreement (as amended, the Series A-1 Stock Purchase Agreement), dated as of April 25, 2011, among the Issuer and the investors referenced therein (the Investors), the Issuer issued an aggregate of 263,178 shares (the Stage II Series A-1 Shares) of its Series A-1 Convertible Preferred Stock, par value \$0.0001 per share (the Series A-1 Stock), to the Investors. Such shares were issued in connection with the consummation of the Stage II Closing contemplated by the Series A-1 Stock Purchase Agreement at a purchase price of \$81.42 per share resulting in aggregate proceeds to the Issuer of \$21,427,952.76. On December 14, 2011, pursuant to the terms of the Stock Purchase Agreement, the Issuer issued an aggregate of 263,180 shares (the Stage III Series A-1 Shares and together with the Stage II Series A-1 Shares, the Series A-1 Shares) of its Series A-1 Stock, to the Investors. Such shares were issued in connection with the consummation of the Stage III Closing contemplated by the Series A-1 Stock Purchase Agreement at a purchase price of \$81.42 per share resulting in aggregate proceeds to the Issuer of \$21,428,115.60.

On April 23, 2013 and May 10, 2013, pursuant to the terms of that certain Series B Convertible Preferred Stock and Warrant Purchase Agreement (the Series B Stock Purchase Agreement), dated as of April 23, 2013, among the Issuer and the investors referenced therein (the Series B Investors), the Issuer issued to the Series B Investors (i) an aggregate of 701,235 shares (the Series B Shares) of its Series B Convertible Preferred Stock, par value \$0.0001 per share, and (ii) warrants to purchase, for an initial exercise price of \$6.142 per share, up to an aggregate of 768,897 (giving effect to the Issuer's one-for-2.28 reverse stock split on April 24, 2014) shares of Common Stock (the Warrants and together with the Series B Shares, the Series B Securities). Such Series B Securities were issued in connection with the consummation of the Initial Closing and the Second Closing contemplated by the Series B Stock Purchase Agreement in exchange for aggregate proceeds received by the Issuer of \$43,069,853.70.

On December 31, 2013, the Board declared a dividend of seventy-seven (77) shares of Series A-6 Convertible Preferred Stock, par value \$0.0001 per share, of the Issuer (the Series A-6 Stock) on and in respect of each share of Series A-5 Stock outstanding at the close of business on such date, resulting in the issuance to Nordic on such date of 496,111 shares of Series A-6 Stock. On March 31, 2014, the Board declared another dividend of twenty-nine (29) shares of Series A-6 Stock on and in respect of each share of Series A-5 Stock outstanding at the close of business on such date, resulting in the issuance to Nordic on such date of 186,847 shares of Series A-6 Stock.

On February 14, 2014, February 19, 2014, February 24, 2014 and March 28, 2014, pursuant to the terms of that certain Series B-2 Convertible Preferred Stock and Warrant Purchase Agreement (the "Series B-2 Stock Purchase Agreement"), dated as of February 14, 2014, among the Issuer and the investors referenced therein (the "Series B-2 Investors"), the Issuer issued to the Series B-2 Investors, including certain Reporting Persons, (i) an aggregate of 448,060 shares (the "Series B-2 Shares") of its Series B-2 Convertible Preferred Stock, par value \$0.0001 per share, and (ii) warrants to purchase, for an initial exercise price of \$6.142 per share, up to an aggregate of 491,293 (giving effect to the Issuer's one-for-2.28 reverse stock split on April 24, 2014) shares of Common Stock (the "New Warrants" and together with the Series B-2 Shares, the "Series B-2 Securities"). Such Series B-2 Securities were issued in connection with the consummation of the Initial Closing and a Subsequent Closing contemplated by the Series B-2 Stock Purchase Agreement in exchange for aggregate proceeds received by the Issuer of \$27,519,845.20.

Item 4. Purpose of the Transaction.

Item 4 remains unchanged.

Item 5. Interest in Securities of the Issuer.

Item 5 is hereby amended and restated in its entirety as follows:

The aggregate number of Issuer securities beneficially owned by each Reporting Person, and the percentage of outstanding Common Stock owned by each Reporting Person, is set forth below and is based on 29,213,449 shares of Common Stock outstanding after the Issuer's initial public offering, as reported in the Issuer's Registration Statement on Form S-1 filed on June 2, 2014 and giving effect to the issuance of 274,550 shares of Common Stock to holders of Preferred Stock in connection with the Issuer's initial public offering, based on information provided by the Issuer:

Name	Amount Beneficially Owned	Percent of Class	Sole Voting Power	Number of Shares as to which the Reporting Person has:		
				Shared Voting Power	Sole Dispositive Power	Shared Dispositive Power
Alwyn Michael Parfitt, M.D.	122	*	122	0	122	0
Barry Pitzele	116	*	116	0	116	0
Bart Henderson	13,363	*	13,363	0	13,363	0
Ben Lane	3,563	*	3,563	0	3,563	0
Benita S. Katzenellenbogen, Ph.D.	82	*	82	0	82	0
Board of Trustees of the University of Arkansas	7,602	*	0	7,602	0	7,602
Breining Family Trust	2,177	*	0	2,177	0	2,177
Chris Glass	584	*	584	0	584	0
Christopher Miller	28,005	0.1%	28,005	0	28,005	0
David E. Thompson Revocable Trust	10,573	*	0	10,573	0	10,573
Dotty McIntyre, RA (Paquin)	390	*	390	0	390	0
Dr. Dennis A. Carson	233	*	233	0	233	0
H. Watt Gregory, III	7,146	*	7,146	0	7,146	0

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Name	Number of Shares as to which the Reporting Person has:					
	Amount Beneficially Owned	Percent of Class	Sole Voting Power	Shared Voting Power	Sole Dispositive Power	Shared Dispositive Power
H2 Enterprises, LLC	2,247	*	0	2,247	0	2,247
Hostetler Family Trust	3,594	*	0	3,594	0	3,594
John Katzenellenbogen	6,853	*	6,853	0	6,853	0
John Katzenellenbogen Trust	17,735	0.1%	0	17,735	0	17,735
John Thomas Potts Trust	8,899	*	0	8,899	0	8,899
John Thomas Potts, Jr.	32,560	0.1%	32,560	0	32,560	0
Jonathan Guerriero	6,432	*	6,432	0	6,432	0
Jonnie K. Westbrook Revocable Trust dated March 17, 2000	159	*	0	159	0	159
Julianne Glowacki, Ph.D.	40	*	40	0	40	0
Kathy Welch	3,969	*	3,969	0	3,969	0
Kelly Colbourn	44	*	44	0	44	0
Kelly Sullivan	410	*	410	0	410	0
The Kent C. Westbrook Revocable Trust, Dated March 17, 2000	20	*	0	20	0	20
Louis O Dea	84,687	0.3%	84,687	0	84,687	0
Maria Grunwald	5,979	*	5,979	0	5,979	0
Maysoun Shomali	1,045	*	1,045	0	1,045	0
Michael Rosenblatt	19,650	0.1%	19,650	0	19,650	0
Nick Harvey	104,761	0.4%	104,761	0	104,761	0
Charles O Brien (1)	61	*	61	0	61	0
Patricia Rosenblatt	18,871	0.1%	18,871	0	18,871	0
Raymond F. Schinazi	40,744	0.1%	40,744	0	40,744	0
Richard Lyttle (2)	335,242	1.1%	335,242	0	335,242	0
Robert L. Jilka, Ph.D.	250	*	250	0	250	0
Robert S. Weinstein, M.D.	184	*	184	0	184	0
Ruff Trust	2,406	*	0	2,406	0	2,406
Samuel Ho	365	*	365	0	365	0
Socrates E. Papapoulos, M.D.	40	*	40	0	40	0
Stavros C. Manolagas	39,929	0.1%	39,929	0	39,929	0
Stavroula Kousteni, Ph.D.	184	*	184	0	184	0

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Name	Amount Beneficially Owned	Percent of Class	Sole Voting Power	Number of Shares as to which the Reporting Person has:		
				Shared Voting Power	Sole Dispositive Power	Shared Dispositive Power
Teresita M. Bellido, Ph.D.	102	*	102	0	102	0
The Richman Trust	3,907	*	0	3,907	0	3,907
Thomas E. Sparks, Jr.	387	*	387	0	387	0
Tonya D. Goss (formerly Smith)	28	*	28	0	28	0
C.C. Consulting A/S(3)	3,023,712	10.4%	0	3,023,712	0	3,023,712
Claus Christiansen, M.D.(3)	3,023,712	10.4%	0	3,023,712	0	3,023,712
Bente Riis Christiansen(3)	3,023,712	10.4%	0	3,023,712	0	3,023,712

* Represents less than 0.1%.

(1) Shares are held indirectly by O'Brien Living Trust.

(2) Includes options to purchase an aggregate of 306,003 shares of Common Stock held by Cecil Richard Lyttle 2012 Grantor Trust and exercisable within 60 days of the date hereof.

(3) Claus Christiansen, MD, and Bente Riis Christiansen each own 50% of C.C. Consulting A/S (C.C. Consulting) and share all voting and investment power with respect to these shares. Each of C.C. Consulting, Dr. Claus Christiansen and Messr. Bente Riis Christiansen disclaim beneficial ownership of the shares except to the extent of their pecuniary interest therein.

As a result of the changes effected by the New Stockholders Agreement, which became effective on Effective Date, any group within the meaning of Section 13(d)(3) of the Act that may have been deemed to have been formed among the Reporting Persons and the Separately Filing Group Members has been terminated, effective as of such date. Each Reporting Person expressly disclaims membership in any such group and beneficial ownership of any securities beneficially owned by any other Reporting Person (other than as reported herein) or Separately Filing Group Member. Any further filings with respect to securities of the Issuer, if required, shall be filed by each Reporting Person separately or jointly with their affiliates. Reference is made to Exhibit 6 hereto.

(c) In the past 60 days, the Reporting Persons have engaged in the following transactions in the Common Stock of the Issuer:

None.

(d) No other person is known to have the right to receive or the power to direct the receipt of dividends from, or any proceeds from the sale of, shares beneficially owned by any of the Reporting Persons.

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(e) With the exception of C.C. Consulting, Claus Christiansen, MD, and Bente Riis Christiansen, each of the Reporting Persons ceased to be the beneficial owner of more than five percent of the Issuer's Common Stock as of June 11, 2014, the closing date of the Issuer's initial public offering.

Item 6. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer.

Item 6 is hereby amended and restated in its entirety as follows:

The portion of Item 2 containing the description of the termination of the Prior Stockholders' Agreement and associated termination of any group within the meaning of Section 13(d)(3) of the Act that may have been deemed to have been formed among the parties thereto, and Item 3, are incorporated by reference into this Item 6.

Item 7. Material to be Filed as Exhibits.

Item 7 is amended and supplemented as follows:

Exhibit Number	Description
4	Fifth Amended and Restated Stockholders' Agreement dated April 24, 2014, by and among the Issuer and the stockholders party thereto***
5	Joint Filing Agreement, dated as of June 13, 2014, by and among the Reporting Persons.
6	Notice of Dissolution of Group, dated as of June 13, 2014.

***Incorporated by reference from the Issuer's Current Report on Form 8-K filed with the Securities and Exchange Commission on April 30, 2014.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: June 13, 2014

/s/ Alwyn Michael Parfitt
Alwyn Michael Parfitt, M.D.

/s/ Barnett Pitzele
Barnett Pitzele

/s/ Bart Henderson
Bart Henderson

/s/ Benjamin C. Lane
Benjamin C. Lane

/s/ Benita S. Katzenellenbogen
Benita S. Katzenellenbogen, PhD

BOARD OF TRUSTEES OF THE UNIVERSITY OF ARKANSAS

By: /s/ Ann Kemp
Name: Ann Kemp
Title: Vice President for Administration University
of Arkansas System

The Breining Family Trust Dated August 15, 2003

By: /s/ Clifford Breining
Name: Clifford Breining
Title: Trustee

Signature Page to Schedule 13D Amendment

The Cecil Richard Lyttle 2012 Grantor
Retained Annuity Trust

By: /s/ Cecil Richard Lyttle
Name: Cecil Richard Lyttle
Title: Co-Trustee

By: /s/ Linda J Lyttle
Name: Linda J. Lyttle
Title: Co-Trustee

/s/ Chris Glass
Chris Glass

/s/ Christopher Miller
Christopher Miller

The David E. Thompson Revocable Trust

By: /s/ David E. Thompson
Name: David E. Thompson
Title: Trustee

/s/ Dotty McIntyre
Dotty McIntyre

Signature Page to Schedule 13D Amendment

/s/ Dennis A. Carson
Dr. Dennis A. Carson

/s/ H. Watt Gregory III
H. Watt Gregory III

H2 ENTERPRISES, LLC

By: /s/ H. Watt Gregory III
Name: H. Watt Gregory III
Title: Managing Member

Hostetler Family Trust UTD 3/18/92

By: /s/ Karl Y. Hostetler
Name: Karl Y. Hostetler
Title: Co-Trustee

By: /s/ Margaretha Hostetler
Name: Margaretha Hostetler
Title: Co-Trustee

/s/ John A. Katzenellenbogen
John A. Katzenellenbogen, PhD.

John A. Katzenellenbogen Trust
Under Agreement Dated August 2, 1999

By: /s/ John A. Katzenellenbogen
Name: John A. Katzenellenbogen
Title: Trustee

Signature Page to Schedule 13D Amendment

Dr. John Potts, Jr and Susanne K. Potts
Irrevocable Trust for Stephen K. Potts
dated 6-15-05

By: /s/ John Potts, Jr.
Name: Dr. John Potts, Jr.
Title: Trustee

By: /s/ Susanne K. Potts
Name: Susanne K. Potts
Title: Trustee

/s/ John Thomas Potts
John Thomas Potts, M.D.

/s/ Jonathan Guerriero
Jonathan Guerriero

Jonnie K. Westbrook Revocable Trust, Dated March 17, 2000

By: /s/ Jonnie K. Westbrook
Name: Jonnie K. Westbrook
Title: Trustee

/s/ Julie Glowacki
Julie Glowacki

/s/ Kathy Welch
Kathy Welch

Signature Page to Schedule 13D Amendment

/s/ Kelly Colbourn
Kelly Colbourn

/s/ E. Kelly Sullivan
E. Kelly Sullivan

The Kent C. Westbrook Revocable Trust,
Dated March 17, 2000

By: /s/ Kent C. Westbrook
Name: Kent C. Westbrook
Title: Trustee

/s/ Louis O Dea
Louis O Dea

/s/ Maria Grunwald
Maria Grunwald

/s/ Maysoun Shomali
Maysoun Shomali

/s/ Michael Rosenblatt
Michael Rosenblatt, M.D.

Signature Page to Schedule 13D Amendment

/s/ Brian Nicholas Harvey
Brian Nicholas Harvey

O Brien Living Trust

By: /s/ Charles O Brien
Name: Charles O Brien
Title: Trustee

/s/ Patricia E. Rosenblatt
Patricia E. Rosenblatt

/s/ Raymond F. Schinazi
Dr. Raymond F. Schinazi

/s/ Cecil Richard Lyttle
Cecil Richard Lyttle

/s/ Robert L. Jilka
Robert L. Jilka, PhD.

/s/ Robert S. Weinstein
Robert S. Weinstein, M.D.

Signature Page to Schedule 13D Amendment

Ruff Trust dated 1-1-02

By: /s/ F. Bronson Van Wyck
Name: F. Bronson Van Wyck
Title: Trustee

/s/ Samuel Ho
Samuel Ho

/s/ Socrates E. Papapoulos
Socrates E. Papapoulos, M.D.

/s/ Stavros C. Manolagas
Stavros C. Manolagas

/s/ Stavroula Kousteni
Stavroula Kousteni, PhD.

/s/ Teresita M. Bellido
Teresita M. Bellido, PhD.

Signature Page to Schedule 13D Amendment

The Richman Trust Dated 2/6/83

By: /s/ Douglas D. Richman
Name: Douglas D. Richman
Title: Co-TTEE

By: /s/ Eva A. Richman
Name: Eva A. Richman
Title: Co-TTEE

/s/ Thomas E. Sparks
Thomas E. Sparks

/s/ Tonya D. Smith
Tonya D. Smith

C.C. Consulting A/S

By: /s/ Claus Christiansen
Name: Claus Christiansen
Title: CEO

/s/ Claus Christiansen, M.D.
Claus Christiansen, M.D.

/s/ Bente Riis Christiansen
Bente Riis Christiansen

Signature Page to Schedule 13D Amendment

Schedule A**Certain Information Regarding the Reporting Persons**

NAME	RESIDENCE OR BUSINESS ADDRESS	PRESENT PRINCIPAL OCCUPATION OR EMPLOYMENT	STATE OF CITIZENSHIP OR ORGANIZATION
	28 Baeza Way		
Alwyn Michael Parfitt, M.D.	Hot Springs, AR 71909	Retired	United Kingdom
		Consultant;	
	7924 N. Tripp Ave.	Skokie Valley Consulting Corp.	United States of America
	Skokie, IL 60076	7924 N. Tripp Ave.	
Barry Pitzele		Skokie, IL 60076 Biotechnology	
	48 Prentiss Lane	Rhythm Pharmaceuticals	United States of America
	Belmont, MA 02478	855 Boylston St.	
Bart Henderson		Boston, MA 02116 Industrial Liaison and Lecturer	
	1284 Deer Trail Lane	Northwestern University	United States of America
	Libertyville, IL 60048	633 Clark Street Evanston, IL 60208	
Ben Lane		Business Type: Non-profit Secondary Education Research Professor of Physiology	
	Department of Molecular and Integrative Physiology	University of Illinois, Teaching and Research	
	University of Illinois	Board of Trustees Office	United States of America
	407 South Goodwin Ave.	352 Henry Administration Building, MC-350	
	Urbana, IL 61801	506 South Wright Street	
Benita S. Katzenellenbogen, Ph.D.		Urbana, IL 61801, USA	
	2404 North University Avenue		
Board of Trustees of the University of Arkansas	Little Rock, AR 72207	Governing board of the University of Arkansas	Arkansas, United States of America
Breining Family Trust	76210 Via Chianti	Trust Fund	California, United States of America

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	Indian Wells, CA 92210	Professor of Cellular and Molecular Medicine	
	467 San Fernando Street San Diego, CA 92106	University of California, San Diego 9500 Gilman Drive	United States of America
Chris Glass		La Jolla, CA 93093 Intellectual Property Strategist,	
	1685 Milburne Lake Forest, IL 60045	Abbott Labs 200 Abbott Park Road	United States of America
Christopher Miller		Abbott Park, IL 60064	
David E. Thompson Revocable Trust	1045 Mason Street, #501 San Francisco, CA 94108	Trust Fund	Indiana, United States of America
		Pfizer Vet Tech II	
	799 Shawsheen Street Tewksbury, MA 01876	1 Burt Rd.	United States of America
Dotty McIntyre, RA (Paquin)		Andover, MA 01810 Professor of Medicine	
		University of California San Diego Moore's Cancer Center 3855 Health Sciences Drive, MC 0820	
	9672 Claiborne Square La Jolla, CA 92037	La Jolla, CA 92093-0820	United States of America
		Effective 1/31/12, new address: Sanford Consortium for Regenerative Medicine 2880 Torrey Pines Scenic Drive, MC 0695	
Dr. Dennis A. Carson		La Jolla, CA 92093-0695	

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	Suite 2000 124 West Capitol Avenue Little Rock, AR 72201 c/o H. Watt Gregory, III. Esq.	Attorney - Suite 2000 124 West Capitol Avenue Little Rock, AR 72201	United States of America
H. Watt Gregory, III			
	Kutak Rock, LLP 124 West Capitol Avenue, Suite 2000	Investments	Arkansas, United States of America
H2 Enterprises, LLC	Little Rock, AR 72201 14024 Rue St. Raphael		
Hostetler Family Trust	Del Mar, CA 92014 John A. Katzenellenbogen, Ph.D	Trust Fund	California, United States of America
	Department of Chemistry (37-5) University of Illinois 600 South Matthews Ave. Urbana, IL 61801	Research Professor of Chemistry University of Illinois at Urbana-Champaign, IL	United States of America
John Katzenellenbogen	John A. Katzenellenbogen		
	704 West Pennsylvania Ave Urbana, IL 61801	Trust Fund	Illinois, United States of America
John Katzenellenbogen Trust	18 Hawthorn St.		
	Cambridge, MA 02138	Trust Fund	Massachusetts, United States of America
John Thomas Potts Trust		Physician	
	18 Hawthorn St. Cambridge, MA 02138	Massachusetts General Hospital 55 Fruit Street	United States of America
John Thomas Potts, Jr.		Boston, MA 02114 Drug Development Professional	
	20 Bazin Lane Canton, MA 02021	Program and Alliance Management 33 Hayden Ave	United States of America
Jonathan Guerriero		Lexington, MA 02421	
Jonnie K. Westbrook Revocable Trust dated March 17, 2000	56 River Ridge Rd. Little Rock, AR 72227	Trust Fund	Arkansas, United States of America
Julianne Glowacki, Ph.D.	76 Perkins Street Jamaica Plain, MA 02130	Professor of Orthopedic Surgery & Professor of Oral & Maxillofacial Surgery	United States of America

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Brigham and Women's Hospital

75 Francis Street

Boston, MA 02115

Controller

Triton Resources, Inc

15 New England Executive Park

Burlington, MA 01803

Administrative - Harvard University

17 Oxford Street

Cambridge, MA 02138

Principal Scientist

N-of-One Therapeutics

400 5th Avenue, Suite 200

Waltham, MA 02451-8706

Trust Fund

Physician

Self-employed
President

Audact Inc.

82 Pine St.

Malden, MA 02148

Scientist, Sanofi-Aventis

270 Albany St

Cambridge MA 02139

Chief Medical Officer, Merck & Co.

1 Merck Drive

Whitehouse Station, NJ 08889

Radius Health, Inc.

Chief Financial Officer

201 Broadway, 6th Floor

Cambridge, MA 02139

University of Arkansas for Medical
Sciences

Little Rock, AR 72211

United States of
America

United States of
America

United States of
America

Arkansas, United
States of America

Canada

United States of
America

United States of
America

United States of
America

United States of
America

United States of
America

	16 Kristyn Lane		
	North Reading, MA 01864		
Kathy Welch			
	123 Oxford Street #3		
	Cambridge, MA 02138		
Kelly Colbourn			
	23 Belknap Street		
	Arlington, MA 02474		
Kelly Sullivan			
The Kent C. Westbrook, M.D. Revocable Trust, Dated March 17, 2000	56 River Ridge Rd. Little Rock, AR 72227		
	566 Main St.		
Louis O Dea			
	Hingham, MA 02043		
	82 Pine St.		
	Malden, MA 02148		
Maria Grunwald			
	354 School Street		
	Watertown, MA 02472		
Maysoun Shomali			
	130 Lake Avenue		
	Newton, MA 02459		
Michael Rosenblatt			
	201 Broadway, Sixth Floor		
	Cambridge, MA 02139		
Nick Harvey			
Charles O Brien	2824 Mossy Creek Drive		
	Little Rock, AR 72211		

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4301 W. Markham St.

Little Rock, AR 72211
Self-Employed Visual Artist

876 Beacon St. Apt. 5

Newton, MA 02459

876 Beacon St. Apt. 5

Newton, MA 02459
Professor, Emory University

United States of
America

Patricia Rosenblatt

1860 Montreal Road

Tucker, GA 30084

Medical Research 151H

1670 Clairmont Road

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