

AMERIPRISE FINANCIAL INC  
Form 8-K/A  
November 17, 2005

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

Washington, DC 20549

**FORM 8-K/A**

**AMENDMENT NO. 1 TO CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): **October 21, 2005**

**AMERIPRISE FINANCIAL, INC.**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or other jurisdiction  
of incorporation)

**001-32525**  
(Commission File Number)

**13-3180631**  
(IRS Employer  
Identification No.)

**55 Ameriprise Financial Center**  
**Minneapolis, Minnesota**  
(Address of principal executive offices)

**55474**  
(Zip Code)

Registrant's telephone number, including area code: **(612) 671-3131**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

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- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  
  - o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  
  - o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  
  - o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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## Item 2.02

**Results of Operations and Financial Condition.**

On October 24, 2005, Ameriprise Financial, Inc. (the Company) filed a Form 8-K which furnished the Company's Quarterly Statistical Supplement for the quarterly period ended September 30, 2005 by attaching it as Exhibit 99.2 thereto. The Return on equity before discontinued operations line item in the Financial Summary on page 2 contained inadvertent errors. The correct numbers for that line item are as follows:

	3Q 2004	4Q 2004	1Q 2005	2Q 2005	3Q 2005	3Q 05 vs. 3Q 04 % Inc/(Dec)	Full Year 2004
<b>Return on equity before discontinued operations ***</b>	<b>11.8%</b>	<b>12.0%</b>	<b>11.4%</b>	<b>11.3%</b>	<b>9.8%</b>		<b>12.0%</b>

In addition, the Financial Summary did not include a line for Effective tax rate on page 2 after the line Operating income margin\*\*. The information for the Effective tax rate is as follows:

	3Q 2004	4Q 2004	1Q 2005	2Q 2005	3Q 2005	3Q 05 vs. 3Q 04 % Inc/(Dec)	Full Year 2004
<b>Effective tax rate</b>	<b>27.7%</b>	<b>12.9%</b>	<b>29.3%</b>	<b>21.5%</b>	<b>32.2%</b>		<b>25.8%</b>

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

AMERIPRISE FINANCIAL, INC.  
(REGISTRANT)

DATE: November 17, 2005

By: /s/ Walter S. Berman  
Name: Walter S. Berman  
Title: Executive Vice President and Chief Financial  
Officer