Davies Benjamin Drew Form 4 March 02, 2018

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 3235-0287

Number: Expires:

January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Davies Benjamin Drew		Sym EX	2. Issuer Name and Ticker or Trading Symbol EXTREME NETWORKS INC [EXTR]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) 6480 VIA D		(Mor	3. Date of Earliest Transaction (Month/Day/Year) 03/01/2018				Director 10% Owner X Officer (give title Other (specify below) EVP Chief Financial Officer		
(Street) SAN JOSE, CA 95119			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-F	<b>N</b> erivative	Secur	ities A <i>c</i> a	uired, Disposed o	f or Reneficial	lv Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. e, if Transaction Code	4. Securi or(A) or D (Instr. 3,	ties A	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of
Common Stock	03/01/2018	03/01/2018	M	8,333	A	\$0	125,642 (1)	D	
Common Stock	03/01/2018	03/01/2018	F	2,434 (2)	D	\$ 11.16	123,208 (1)	D	
Common Stock	03/01/2018	03/01/2018	F	2,477 (3)	D	\$ 11.16	120,731 (1)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: Davies Benjamin Drew - Form 4

#### number.

5. Number

6. Date Exercisable and

7. Title and Amou

Stock

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								, ,	
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Derivat Code Securities (Instr. 8) Acquired (A) or Disposed (D) (Instr. 3, 4) and 5)		(Month/Day/Year)		Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nur of Sha
Performance	\$0	03/01/2018	03/01/2018	M	8,333	06/01/2017(4)	06/01/2019	Common	8,

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
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3. Transaction Date 3A. Deemed

Director 10% Owner Officer Other

Davies Benjamin Drew 6480 VIA DEL ORO SAN JOSE, CA 95119

**EVP Chief Financial Officer** 

# **Signatures**

1. Title of

**Based RSU** 

Quentin Wright, Power of Attorney 03/02/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Beneficially owned share amount includes 41,667 unvested RSUs granted on 6/1/2016.
- (2) Represents shares withheld from the Performance Based RSU award for the payment of applicable income and payroll withholding taxes due on release.
- (3) Represents shares withheld from the RSU award for the payment of applicable income and payroll withholding taxes due on release.
- (4) Performance grants were earned when EXTR shares reached \$5.00 per share for 30 consecutive trading days after January 1st 2017. Earned shares vest as to 1/3 on the one year anniversary date and 1/12 each quarter thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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