

Edgar Filing: NEOSE TECHNOLOGIES INC - Form SC 13G

NEOSE TECHNOLOGIES INC  
Form SC 13G  
January 08, 2003

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13G  
(Rule 13d-102)

Information to be Included in Statements Filed Pursuant to Rules 13d-1(b),  
(c) and (d) and Amendments Thereto Filed Pursuant to Rule 13d-2(b)  
(Amendment No. 1)

NEOSE TECHNOLOGIES, INC.  
(Name of Issuer)

Common Stock, par value \$.01 per share  
(Title of Class of Securities)

640522108  
(CUSIP Number)

December 31, 2002  
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this  
Schedule is filed.

- Rule 13d-1(b)  
 Rule 13d-1(c)  
 Rule 13d-1(d)

\* The remainder of this cover page shall be filled out for a  
reporting person's initial filing on this form with respect to the subject  
class of securities, and for any subsequent amendment containing information  
which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall  
not be deemed to be "filed" for the purpose of Section 18 of the Securities  
Exchange Act of 1934 or otherwise subject to the liabilities of that section of  
the Act but shall be subject to all other provisions of the Act (however, see  
the Notes).

CUSIP No. 640522108 13G Page 2 of 6 Pages

1. Names of Reporting Persons.  
I.R.S. Identification No. Of Above Persons (entities only)

George W. Haywood

2. Check the Appropriate Box if a Member of a Group\*  
(a)   
(b)

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3. SEC Use Only

4. Citizenship or Place of Organization

U.S.A.

Number of Shares Beneficially Owned by Each Reporting Person With

5. Sole Voting Power 1,398,000

6. Shared Voting Power 24,200 (1)

7. Sole Dispositive Power 1,398,000

8. Shared Dispositive Power 24,200 (1)

9. Aggregate Amount Beneficially Owned by Each Reporting Person

1,422,200

10. Check box if the Aggregate Amount in Row (9) Excludes Certain Shares\* [ ]

11. Percent of Class Represented By Amount in Row (9)

9.9

12. Type of Reporting Person\*

IN

(1) Includes 8,200 shares owned by spouse and 16,000 shares owned jointly with mother.

\* SEE INSTRUCTIONS BEFORE FILLING OUT.

Item 1(a) Name of Issuer:

Neose Technologies, Inc.

Item 1(b) Address of Issuer's Principal Executive Offices:

102 Witmer Road, Horsham, Pennsylvania 19044

Item 2(a) Name of Person Filing:

George W. Haywood

Item 2(b) Address of Principal Business Office or, if none, Residence:

c/o Cronin & Vris, LLP, 380 Madison Avenue, 24th Floor, New York, New York 10017

Item 2(c) Citizenship:

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U.S.A.

Item 2(d) Title of Class of Securities:  
Common Stock, par value \$.01 per share

Item 2(e) CUSIP Number  
640522108

- Item 3. If this statement is filed pursuant to Rules 13d-1(b), or 13d-2(b) or (c) , check whether the person filing is a:
- (a)  Broker or dealer registered under Section 15 of the Exchange Act.
  - (b)  Bank as defined in Section 3(a)(6) of the Exchange Act.
  - (c)  Insurance company as defined in Section 3(a)(19) of the Exchange Act.
  - (d)  Investment company registered under Section 8 of the Investment Company Act.
  - (e)  An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E).
  - (f)  An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F).
  - (g)  A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G).
  - (h)  A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act.

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- (i)  A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act.
- (j)  Group, in accordance with Rule 13d-1(b)(1)(ii)(J).

If this statement is filed pursuant to Rule 13d-1(c), check this box. [X]

Item 4. Ownership.

- (a) Amount Beneficially Owned: 1,422,200
- (b) Percent of Class: 9.9
- (c) Number of shares as to which such person has:
  - (i) sole power to vote or to direct the vote: 1,398,000
  - (ii) shared power to vote or to direct vote: 24,200 (1)

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(iii) sole power to dispose or to direct the disposition of:  
1,398,000

(iv) shares power to dispose or to direct the disposition of:  
24,200 (1)

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(1) Includes 8,200 shares owned by spouse and 16,000 shares owned jointly with mother.

Item 5. Ownership of Five Percent or Less of a Class.

Not applicable

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Included as shares for which there exist sole voting and dispositive power are 3,545 shares owned by Mr. Haywood's minor children, which children would have the right to the receipt of dividends from, and the proceeds from the sale of, such shares.

Included as shares for which there exist shared voting and dispositive power are 8,200 shares owned by Mr. Haywood's spouse, which spouse would have the right to the receipt of dividends from and proceeds for the sale of such shares.

Also included as shares for which there exist shared voting and dispositive power are 16,000 shares owned jointly by Mr. Haywood and his mother; accordingly Mr. Haywood's mother would have the joint right to the receipt of dividends from, and the proceeds from the sale of, such shares.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported On By the Parent Holding Company or Control Person.

Not applicable.

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Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

January 8, 2003

-----  
(Date)

/s/ George W. Haywood

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(Signature)

George W. Haywood

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(Name/Title)

ATTENTION: INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACT CONSTITUTE FEDERAL CRIMINAL VIOLATIONS (SEE 18 U.S.C. 1001).