Edgar Filing: AMERICAN RIVER BANKSHARES - Form 4

AMERICAN RIVER BANKSHARES Form 4 January 17, 2014 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 30(h) of the Investment Company Act of 1940 I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Responses) I. Name and Address of Reporting Person I(b). (Print or Type Response) I. Name and Address of Reporting Person I(b). (Print or Type Response) I. Thi de Y II Armendment, Date Original Filed(Month/Day/Year) (Cit) II (II OF II (II AMEDICAL) II (II (II (II (II (II (II (II (II (II			3	-	-					
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB Number: 2235-C Number: Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 2 State of a pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 Section 1940 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol AMERICAN RIVER BANKSHARES [AMRB] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person (Month/Day/Year) Director 		NRIVER BANK	SHARES							
Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES MB Number: January Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: January Expires: January Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). State output of the securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). State output of the securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). State output of the securities Exchange Act of 1934, Section 17(a) of the Investment Company Act of 1940 1(b). State output of the securities Exchange Act of 1934, Section 17(a) of the Investment Company Act of 1940 1(b). State output of the securities Exchange Act of 1934, Section 17(a) of the Investment Company Act of 1940 1(b). State output of the securities Secu	January 17, 2	2014								
Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Number: January Expires: January January Expires: Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Investment Company Act of 1940 (b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol AMERICAN RIVER BANKSHARES [AMRB] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 	FORM		OTATES SE	CUDITIES AND EVO		COMMERION	т	PPROVAL		
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: Current of Estimated average burden hours per response Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Filed pursuant to Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol AMERICAN RIVER BANKSHARES [AMRB] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	-	UNITED	SIAIES SE							
Section 16. SECURITIES Durden hours per response Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol AMERICAN RIVER BANKSHARES [AMRB] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 	if no long	ger STATEN	MENT OF C	HANGES IN BENEFIC						
Form 5 obligations may continue. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person 1(b). 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 0irector -X_Officer (give title Other (specify below) 1413 TENEIGHTH WAY 01/16/2014 EVP- Chief Credit Officer SACRAMENTO, CA 95818 City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of	Section 1	16.		SECURITIES						
1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer Muttera Robert H Symbol AMERICAN RIVER BANKSHARES [AMRB] 6. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	Form 5 obligatio may cont <i>See</i> Instr	Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								
Muttera Robert H Symbol Issuer AMERICAN RIVER BANKSHARES [AMRB] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	(Print or Type]	Responses)								
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	Muttera Robert H Sym			nbol	Issuer					
1413 TENEIGHTH WAY (Month/Day/Year) 01/16/2014 $\frac{X}{below}$ Officer (give titleOther (specify below)}{EVP- Chief Credit Officer} (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities J. Accurities J. Amount of the complexity of			BA	NKSHARES [AMRB]		(Check an applicable)				
1413 TENEIGHTH WAY 01/16/2014 EVP- Chief Credit Officer (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person _Form filed by More than One Reporting Person SACRAMENTO, CA 95818 Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of	. ,	. , .				Officer (give title Other (specify				
Filed(Month/Day/Year) Applicable Line) _X_Form filed by One Reporting Person _X_Form filed by More than One Reporting Person (City) (State) (City) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of	1413 TENEIGHTH WAY0			/16/2014	· · · · · · · · · · · · · · · · · · ·					
Grammento, CA 95818 — Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of				ed(Month/Day/Year) Applicable I			ne)			
1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of	SACRAME	ENTO, CA 95818				Form filed by				
1	(City)	(State)	(Zip)	Table I - Non-Derivative Se	ecurities A	cquired, Disposed o	of, or Beneficia	lly Owned		
(Instr. 3) any Code Disposed of (D) Beneficially (D) or Indirect Beneficial	Security		Execution Date any	e, if TransactionAcquired (A Code Disposed of ear) (Instr. 8) (Instr. 3, 4 a (A	A) or f (D) and 5) A) or	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Beneficial Ownership		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secu

Edgar Filing: AMERICAN RIVER BANKSHARES - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr.	8)	Deriv Secu Acqu (A) o Disp of (D (Instr 4, an	rities uired or osed)) r. 3,	5		Secur (Instr	ities . 3 and 4)	(Instr. 5)	Bene Owne Follo Repo Trans (Instr
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address			Relationships	
	Director	10% Owner	Officer	Other
Muttera Robert H 1413 TENEIGHTH WAY SACRAMENTO, CA 95818			EVP- Chief Credit Officer	
Signatures				

Reporting Person

/s/ Robert H.	01/16/2014			
Muttera				
**Signature of	Date			

Explanation of Responses:

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

As reported on Form 8-K with the Securities & Exchange Commission on December 19, 2013, Mr. Muttera resigned from the

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.