Edgar Filing: UNITED GUARDIAN INC - Form 8-K

UNITED GUARDIAN INC Form 8-K November 13, 2007

#### **SECURITIES AND EXCHANGE COMMISSION**

WASHINGTON, DC 20549

### FORM 8-K

## **CURRENT REPORT**

## PURSUANT TO SECTION 13 OR 15(d) OF THE

## **SECURITIES EXCHANGE ACT OF 1934**

Date of report (Date of earliest event reported): November 9, 2007

# **UNITED-GUARDIAN, INC.**

(Exact name of neglociant as opcomed in onarter)		
DELAWARE	1-10526	
11-1719724		
(State or Other Jurisdiction	(Commission File Number)	(IRS Employe
Identification No.)		
of Incorporation)		

230 Marcus Boulevard, Hauppauge, New York (Address of Principal Executive Offices) (Zip Code)

Registrant's telephone number, including area code: (631) 273-0900

Not Applicable
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)

Item 2.02 - Results of Operations and Financial Condition

On November 9, 2007, United-Guardian, Inc. issued a press release announcing its financial results for the fiscal quarter ended September 30, 2007. A copy of that press release is being furnished as Exhibit

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### 99.1 to this Form 8-K.

The information in this Current Report, including the attached Exhibit, shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that Section, nor shall it be deemed incorporated by reference into any filing under the Securities Act of 1933, as amended, except as expressly set forth by specific reference in such filing.

## ITEM 9.01 - FINANCIAL STATEMENTS AND EXHIBITS.

## (c) Exhibits

Exhibit Number Exhibit

99.1 Press Release dated November 9, 2007

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

UNITED-GUARDIAN, INC.

By: /s/ Kenneth H. Globus Name: Kenneth H. Globus

Title: President

November 7, 2007