

NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND

Form NSAR-B/A

April 28, 2009

PAGE 1  
000 B000000 02/28/2009  
000 C000000 0001214935  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 Y  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 NICHOLAS-APPLGATE CONVERTIBLE & INCOME FUND  
001 B000000 811-21284  
001 C000000 2127393371  
002 A000000 1345 AVENUE OF AMERICAS  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10105  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
007 C011100 11  
007 C011200 12  
007 C011300 13  
007 C011400 14  
007 C011500 15  
007 C011600 16  
007 C011700 17  
007 C011800 18  
007 C011900 19  
007 C012000 20  
007 C012100 21  
007 C012200 22  
007 C012300 23  
007 C012400 24  
007 C012500 25  
007 C012600 26  
007 C012700 27  
007 C012800 28  
007 C012900 29  
PAGE 2  
007 C013000 30  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-57798  
008 D010001 NEW YORK  
008 D020001 NY

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B/A

008 D030001 10105  
008 A000002 NICHOLAS-APPLGATE CAPITAL MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-21442  
008 D010002 SAN DIEGO  
008 D020002 CA  
008 D030002 92101  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-57798  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10105  
012 A000001 PNC GLOBAL INVESTMENT SERVICING  
012 B000001 84-58023  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10017  
014 A000001 DELETE  
014 A000002 NICHOLAS-APPLGATE SECURITIES LLC  
014 B000002 8-045406  
014 A000003 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC  
014 B000003 8-041811  
014 A000004 ALLIANZ LIFE FINANCIAL SERVICES LLC  
014 B000004 8-013630  
014 A000005 QUESTAR CAPITAL CORP.  
014 B000005 8-050174  
014 A000006 DELETE  
014 A000007 DELETE  
014 A000008 DELETE  
014 A000009 DELETE  
014 A000010 DELETE  
014 A000011 DELETE  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
PAGE 3  
019 B000000 80  
019 C000000 ALLIANZGLO  
020 A000001 BANK OF AMERICA  
020 B000001 56-2058466  
020 C000001 38  
020 A000002 INSTINET  
020 B000002 13-2625132  
020 C000002 37  
020 A000003 CITIGROUP, INC.  
020 B000003 52-1568099  
020 C000003 27  
020 A000004 LEHMAN BROTHERS HOLDINGS, INC.  
020 B000004 13-3216325  
020 C000004 26  
020 A000005 LAZARD FRERES & CO. LLC  
020 B000005 13-5545100

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B/A

020 C000005 26  
020 A000006 WELLS FRAGO & CO.  
020 B000006 41-1508325  
020 C000006 23  
020 A000007 LIQUIDNET INC.  
020 B000007 13-4095933  
020 C000007 19  
020 A000008 JP MORGAN CHASE & CO.  
020 B000008 13-2624428  
020 C000008 18  
020 A000009 DEUTSCHE-BANK SECURITIES INC.  
020 B000009 13-2730828  
020 C000009 15  
020 A000010 GOLDMAN, SACHS GROUP, INC.  
020 B000010 13-4019460  
020 C000010 12  
021 000000 320  
022 A000001 CREDIT SUISSE GROUP  
022 B000001 13-5659485  
022 C000001 101080  
022 D000001 44841  
022 A000002 GOLDMAN SACHS GROUP, INC.  
022 B000002 13-4019460  
022 C000002 41392  
022 D000002 69894  
022 A000003 CITIGROUP, INC.  
022 B000003 52-1568099  
022 C000003 64321  
022 D000003 40201  
022 A000004 BANK OF AMERICA  
022 B000004 56-2058466  
022 C000004 61974  
022 D000004 35175  
022 A000005 LEHMAN BROTHERS HOLDINGS, INC.  
022 B000005 13-3216325  
PAGE 4  
022 C000005 70218  
022 D000005 25184  
022 A000006 WELLS FARGO & CO.  
022 B000006 41-1508325  
022 C000006 63515  
022 D000006 20003  
022 A000007 JP MORGAN CHASE & CO.  
022 B000007 13-2624428  
022 C000007 41539  
022 D000007 36598  
022 A000008 DEUTSCHE-BANK SECURITIES INC.  
022 B000008 13-2730828  
022 C000008 47302  
022 D000008 13601  
022 A000009 BARCLAYS CAPITAL LE  
022 B000009 05-0346412  
022 C000009 28085  
022 D000009 20074  
022 A000010 THE BANK OF NY/MIZUHO SECURITIES  
022 B000010 36-2880742  
022 C000010 28296  
022 D000010 14763  
023 C000000 612978  
023 D000000 432472  
024 000000 Y  
025 A000001 CREDIT SUISSE GROUP

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B/A

025 B000001 13-5659485  
025 C000001 E  
025 D000001 19362  
025 A000002 BANK OF AMERICA  
025 B000002 56-2058466  
025 C000002 E  
025 D000002 15909  
025 A000003 WELLS FARGO & CO.  
025 B000003 41-1508325  
025 C000003 E  
025 D000003 13465  
025 A000004 LEHMAN BROTHERS HOLDINGS, INC.  
025 B000004 13-3216325  
025 C000004 E  
025 D000004 4760  
025 A000005 CITIGROUP, INC.  
025 B000005 52-1568099  
025 C000005 E  
025 D000005 1777  
025 A000006 DELETE  
025 D000006 0  
025 A000007 DELETE  
025 D000007 0  
025 D000008 0  
026 A000000 N

PAGE 5

026 B000000 N  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
028 A010000 0  
028 A020000 0  
028 A030000 0  
028 A040000 0  
028 B010000 0  
028 B020000 0  
028 B030000 0  
028 B040000 0  
028 C010000 0  
028 C020000 0  
028 C030000 0  
028 C040000 0  
028 D010000 0  
028 D020000 0  
028 D030000 0  
028 D040000 0  
028 E010000 0  
028 E020000 0  
028 E030000 0  
028 E040000 0  
028 F010000 0  
028 F020000 0  
028 F030000 0  
028 F040000 0  
028 G010000 0  
028 G020000 0  
028 G030000 0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B/A

028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
	PAGE 6	
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.700
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	Y
054	H000000	Y

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B/A

054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
     PAGE 7  
 054 N000000 N  
 054 O000000 N  
 055 A000000 N  
 055 B000000 N  
 056 000000 Y  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 Y  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0  
 062 I000000 0.0  
 062 J000000 2.6  
 062 K000000 0.0  
 062 L000000 0.9  
 062 M000000 3.8  
 062 N000000 0.0  
 062 O000000 0.0  
 062 P000000 45.2  
 062 Q000000 27.9  
 062 R000000 19.2  
 063 A000000 0  
 063 B000000 10.1  
 064 A000000 N  
 064 B000000 Y  
 065 000000 N  
 066 A000000 N  
 067 000000 N  
 068 A000000 N  
 068 B000000 N  
 069 000000 N  
 070 A010000 Y  
 070 A020000 N  
 070 B010000 Y  
 070 B020000 N  
 070 C010000 Y  
 070 C020000 N  
 070 D010000 Y  
 070 D020000 N  
 070 E010000 Y  
 070 E020000 N  
 070 F010000 Y  
 070 F020000 N  
     PAGE 8  
 070 G010000 Y  
 070 G020000 N  
 070 H010000 Y  
 070 H020000 N

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B/A

070	I010000	N	
070	I020000	N	
070	J010000	Y	
070	J020000	Y	
070	K010000	Y	
070	K020000	N	
070	L010000	Y	
070	L020000	Y	
070	M010000	N	
070	M020000	N	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		680899
071	B000000		796183
071	C000000		1104078
071	D000000		62
072	A000000	12	
072	B000000		73772
072	C000000		48208
072	D000000		0
072	E000000		513
072	F000000		8062
072	G000000		0
072	H000000		0
072	I000000		37
072	J000000		151
072	K000000		0
072	L000000		208
072	M000000		138
072	N000000		0
072	O000000		243
072	P000000		0
072	Q000000		0
072	R000000		101
072	S000000		149
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		1304
	PAGE	9	
072	X000000		10393
072	Y000000		0
072	Z000000		112100
072	AA000000		0
072	BB000000		245263
072	CC010000		0
072	CC020000		312502
072	DD010000		97782
072	DD020000		0
072	EE000000		0
073	A010000		1.3596
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B/A

074	A000000	0
074	B000000	0
074	C000000	24600
074	D000000	542085
074	E000000	135548
074	F000000	0
074	G000000	0
074	H000000	0
074	I000000	0
074	J000000	3400
074	K000000	0
074	L000000	17934
074	M000000	13
074	N000000	723580
074	O000000	10666
074	P000000	397
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	6974
074	S000000	357000
074	T000000	348543
074	U010000	72560
074	U020000	0
074	V010000	4.80
074	V020000	0.00
074	W000000	0.00000
074	X000000	116
074	Y000000	0
075	A000000	0
075	B000000	1151765
076	000000	4.05
077	A000000	Y
077	B000000	Y
077	C000000	Y
077	D000000	N
	PAGE	10
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	Y
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	ICI MUTUAL INSURANCE COMPANY
080	C000000	70500
081	A000000	Y
081	B000000	79
082	A000000	Y
082	B000000	250
083	A000000	N
083	B000000	0



Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B/A

084 A000000 N  
084 B000000 0  
085 A000000 Y  
085 B000000 N  
086 A010000 0  
086 A020000 0  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 7  
086 D020000 168000  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 65370F101  
087 A030000 NCV  
087 B010000 PREFERRED SHARES SERIES A  
087 B020000 65370F200  
087 C010000 PREFERRED SHARES SERIES B  
087 C020000 65370F309  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
PAGE 11  
SIGNATURE LAWRENCE ALTADONNA  
TITLE TREASURER & CEO