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NICHOLAS APPELGATE CONVERTIBLE & INCOME FUND

Form NSAR-B

April 28, 2009

PAGE 1  
000 B000000 02/28/2009  
000 C000000 0001214935  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 U  
001 A000000 NICHOLAS-APPELGATE CONVERTIBLE & INCOME FUND  
001 B000000 811-21284  
001 C000000 2127393371  
002 A000000 1345 AVENUE OF AMERICAS  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10105  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
007 C011100 11  
007 C011200 12  
007 C011300 13  
007 C011400 14  
007 C011500 15  
007 C011600 16  
007 C011700 17  
007 C011800 18  
007 C011900 19  
007 C012000 20  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-57798  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10105  
008 A000002 NICHOLAS-APPELGATE CAPITAL MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-21442  
PAGE 2  
008 D010002 SAN DIEGO  
008 D020002 CA  
008 D030002 92101  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-57798  
010 C010001 NEW YORK

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010 C020001 NY  
010 C030001 10105  
012 A000001 PNC GLOBAL INVESTMENT SERVICING  
012 B000001 84-58023  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10017  
014 A000001 DELETE  
014 A000002 NICHOLAS-APPLGATE SECURITIES LLC  
014 B000002 8-045406  
014 A000003 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC  
014 B000003 8-041811  
014 A000004 ALLIANZ LIFE FINANCIAL SERVICES LLC  
014 B000004 8-013630  
014 A000005 QUESTAR CAPITAL CORP.  
014 B000005 8-050174  
014 A000006 DELETE  
014 A000007 DELETE  
014 A000008 DELETE  
014 A000009 DELETE  
014 A000010 DELETE  
014 A000011 DELETE  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 80  
019 C000000 ALLIANZGLO  
020 A000001 BANK OF AMERICA  
020 B000001 56-2058466  
020 C000001 38  
020 A000002 INSTINET  
020 B000002 13-2625132  
020 C000002 37  
020 A000003 CITIGROUP, INC.  
020 B000003 52-1568099  
PAGE 3  
020 C000003 27  
020 A000004 LEHMAN BROTHERS HOLDINGS, INC.  
020 B000004 13-3216325  
020 C000004 26  
020 A000005 LAZARD FRERES & CO. LLC  
020 B000005 13-5545100  
020 C000005 26  
020 A000006 WELLS FRAGO & CO.  
020 B000006 41-1508325  
020 C000006 23  
020 A000007 LIQUIDNET INC.  
020 B000007 13-4095933  
020 C000007 19  
020 A000008 JP MORGAN CHASE & CO.  
020 B000008 13-2624428  
020 C000008 18

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020 A000009 DEUTSCHE-BANK SECURITIES INC.  
020 B000009 13-2730828  
020 C000009 15  
020 A000010 GOLDMAN, SACHS GROUP, INC.  
020 B000010 13-4019460  
020 C000010 12  
021 000000 320  
022 A000001 CREDIT SUISSE GROUP  
022 B000001 13-5659485  
022 C000001 101080  
022 D000001 44841  
022 A000002 GOLDMAN SACHS GROUP, INC.  
022 B000002 13-4019460  
022 C000002 41392  
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022 A000003 CITIGROUP, INC.  
022 B000003 52-1568099  
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022 A000004 BANK OF AMERICA  
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022 C000004 61974  
022 D000004 35175  
022 A000005 LEHMAN BROTHERS HOLDINGS, INC.  
022 B000005 13-3216325  
022 C000005 70218  
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022 A000006 WELLS FARGO & CO.  
022 B000006 41-1508325  
022 C000006 63515  
022 D000006 20003  
022 A000007 JP MORGAN CHASE & CO.  
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022 C000007 41539  
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022 A000008 DEUTSCHE-BANK SECURITIES INC.  
022 B000008 13-2730828  
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022 D000008 13601  
022 A000009 BARCLAYS CAPITAL LE  
022 B000009 05-0346412  
022 C000009 28085  
022 D000009 20074  
022 A000010 THE BANK OF NY/MIZUHO SECURITIES  
022 B000010 36-2880742  
022 C000010 28296  
022 D000010 14763  
023 C000000 612978  
023 D000000 432472  
024 000000 Y  
025 A000001 CREDIT SUISSE GROUP  
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025 A000002 BANK OF AMERICA  
025 B000002 56-2058466  
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025 D000002 15909  
025 A000003 WELLS FARGO & CO.  
025 B000003 41-1508325  
025 C000003 E

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| 025 | A000004 | LEHMAN BROTHERS HOLDINGS, INC. |   |
| 025 | B000004 | 13-3216325                     |   |
| 025 | C000004 | E                              |   |
| 025 | D000004 | 4760                           |   |
| 025 | A000005 | CITIGROUP, INC.                |   |
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|     |         |       |
|-----|---------|-------|
| 036 | B000000 | 0     |
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| 046 | 000000  | N     |
| 047 | 000000  | Y     |
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| 054 | G000000 | Y     |
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| 054 | I000000 | N     |
| 054 | J000000 | Y     |
| 054 | K000000 | N     |
| 054 | L000000 | N     |
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| 054 | N000000 | N     |
| 054 | O000000 | N     |
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| 055 | B000000 | N     |
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057 000000 N  
058 A000000 N  
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060 A000000 Y  
060 B000000 Y  
PAGE 7  
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070 J010000 Y  
070 J020000 Y  
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070 K020000 N  
PAGE 8  
070 L010000 Y  
070 L020000 Y  
070 M010000 N  
070 M020000 N

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| 070   | P010000 | N  |         |
| 070   | P020000 | N  |         |
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| 070   | Q020000 | N  |         |
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| 070   | R020000 | N  |         |
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| 074   | J000000 |    | 3400    |

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| 077 | Q030000 | N                            |
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| 086 | C020000 | 0                            |



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086 D010000 7  
086 D020000 168000  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 65370F101  
087 A030000 NCV  
087 B010000 PREFERRED SHARES SERIES A  
087 B020000 65370F200  
087 C010000 PREFERRED SHARES SERIES B  
087 C020000 65370F309  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE LAWRENCE ALTADONNA  
TITLE TREASURER & CEO