Freda Fabrizio Form 4 November 16, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Freda Fabrizio

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

**ESTEE LAUDER COMPANIES** 

(Check all applicable)

10% Owner

INC [EL]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director X\_ Officer (give title Other (specify below)

11/15/2018

President and CEO

THE ESTEE LAUDER **COMPANIES INC., 767 FIFTH AVENUE** 

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10153

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie order Disposed (Instr. 3, 4	d of (L	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Class A Common Stock	11/15/2018		M	150,000		\$ 29.04 (1)	223,174	D				
Class A Common Stock	11/15/2018		S	78,518 (2)	D	\$ 144.34 (2) (3)	144,656	D				
Class A Common Stock	11/15/2018		S	1,400 (2)	D	\$ 144.81 (2) (4)	143,256	D				

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Class A Common Stock	11/16/2018	S	44,408 (2)	D	\$ 143.61 (2) (5)	98,848	D
Class A Common Stock	11/16/2018	S	18,614 (2)	D	\$ 144.83 (2) (6)	80,234	D
Class A Common Stock	11/16/2018	S	7,060 (2)	D	\$ 145.57 (2) (7)	73,174	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		tive Expiration Date ties (Month/Day/Year) red (A) or ed of (D)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amous Number Shares
Option (Right to Buy)	\$ 29.04	11/15/2018		M		150,000	01/01/2012(1)	09/01/2020	Class A Common Stock	150,0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
. 6	Director	10% Owner	Officer	Other			
Freda Fabrizio THE ESTEE LAUDER COMPANIES INC. 767 FIFTH AVENUE NEW YORK, NY 10153	X		President and CEO				

## **Signatures**

Fabrizio Freda, by Maureen Sladek, attorney-in-fact 11/16/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Stock Options granted pursuant to Fiscal 2002 Share Incentive Plan in respect of 82,754 shares exercisable from and after January 1, 2012; 82,754 shares exercisable from and after January 1, 2013; 82,754 shares exercisable from and after January 1, 2014, adjusted to reflect the stock split that occurred on January 20, 2012.
- The number of securities reported represents an aggregate number of shares sold in multiple open market transactions over a range of sales prices. The price reported represents the weighted average price. The Reporting Person undertakes to provide to the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares sold by the Reporting Person at each separate price within the range.
- (3) Sales prices range from \$143.74 to \$144.73 per share, inclusive.
- (4) Sales prices range from \$144.74 to \$144.83 per share, inclusive.
- (5) Sales prices range from \$143.43 to \$144.42 per share, inclusive.
- (6) Sales prices range from \$144.43 to \$145.42 per share, inclusive.
- (7) Sales prices range from \$145.43 to \$145.85 per share, inclusive.
- (8) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.