Dempsey Patrick Form 4 August 14, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

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obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* Dempsey Patrick

2. Issuer Name and Ticker or Trading Symbol

Issuer

BARNES GROUP INC [B]

(Last) (First) 3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

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response...

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burden hours per

BARNES GROUP INC., 123 MAIN

(Middle)

08/10/2017

below) President and CEO

6. Individual or Joint/Group Filing(Check

STREET

(Street)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

BRISTOL, CT 06010

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acc	quired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/10/2017		F	4,304	D D	\$ 59.21	258,260 <u>(1)</u>	D	
Common Stock	08/11/2017		F	3,945	D	\$ 58.68	254,315 <u>(1)</u>	D	
Common Stock	08/12/2017		F	2,705	D	\$ 58.68	251,610 <u>(1)</u>	D	
Common Stock	08/12/2017		F	568	D	\$ 58.68	251,042 (1)	D	
Common Stock							4,094.16	I	By Company's 401(k) Plan

#### Edgar Filing: Dempsey Patrick - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	tionNumber	Expiration Da	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	) Derivative	e		Securi	ties	(Instr. 5)
	Derivative				Securities	3		(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									A	
									Amount	
						Date Expiratio Exercisable Date	Expiration	Title Nui		
							Date		Number	
				G 1 1	7 (4) (5)					
				Code V	V (A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer				

Director 10% Owner Officer Other

Dempsey Patrick

BARNES GROUP INC.
123 MAIN STREET

X President and CEO

BRISTOL, CT 06010

# **Signatures**

William K. Piotrowski, pursuant to Power of Attorney 08/14/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes balances of 7933 granted 2/11/2015, 17333 granted 2/10/2016, and 14400 granted 2/8/2017, that are subject to forfeiture if certain events occur.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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