Edgar Filing: THERMO FISHER SCIENTIFIC INC. - Form 4

THERMO FISHER SCIENTIFIC INC. Form 4 November 14, 2006 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MEISTER PAUL M Issuer Symbol THERMO FISHER SCIENTIFIC (Check all applicable) INC. [TMO] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 81 WYMAN STREET, P.O. BOX 11/09/2006 9046 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting WALTHAM, MA 024549046 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Transaction Disposed of (D) Security (Month/Day/Year) Execution Date, if Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I)(A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Price (D) Amount

Common 11/09/2006 Α 350,000 A (1) 350,000 D Stock Common 11/09/2006 D Α 150,000 А (2)500,000 Stock Common 11/09/2006 A 48,460 Α (3) 548,460 D Stock Common \$ F 72.339 D 11/09/2006 476,121 D Stock 43.37 Common By Trusts 11/09/2006 984,212 A (4)984,212 I A Stock (5)

Edgar Filing: THERMO FISHER SCIENTIFIC INC. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Stock Option (Right to Buy)	\$ 4.75	11/09/2006		A	864,604	11/09/2006	01/21/2008	Common Stock	864,604
Stock Option (Right to Buy)	\$ 19.62	11/09/2006		А	600,000	11/09/2006	09/26/2008	Common Stock	600,00
Stock Option (Right to Buy)	\$ 12	11/09/2006		А	500,000	11/09/2006	05/03/2011	Common Stock	500,00
Stock Option (Right to Buy)	\$ 15	11/09/2006		A	200,000	11/09/2006	05/03/2011	Common Stock	200,00
Stock Option (Right to Buy)	\$ 14.2	11/09/2006		A	600,000	11/09/2006	01/27/2013	Common Stock	600,00
Stock Option (Right to Buy)	\$ 43.37	11/09/2006		A	15,600	(11)	11/09/2013	Common Stock	15,600
Stock Option (Right to Buy)	\$ 30.68	11/09/2006		А	119,200	11/09/2006	03/07/2015	Common Stock	119,20
	\$ 32.3	11/09/2006		А	172,080	11/09/2006	07/25/2015		172,08

Stock Option (Right to Buy)							Common Stock	
Stock Option (Right to Buy)	\$ 30.68	11/09/2006	A	178,800	11/09/2006	03/07/2015	Common Stock	178,80
Stock Option (Right to Buy)	\$ 32.3	11/09/2006	А	114,720	11/09/2006	07/25/2015	Common Stock	114,72

Reporting Owners

Reporting Owner Name / Address							
1	Director	10% Owner	Officer	Other			
MEISTER PAUL M 81 WYMAN STREET P.O. BOX 9046 WALTHAM, MA 024549046	Х						
Signatures							
By: Barbara J. Lucas, Attorney Meister	11/14/2006						

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Received in exchange for 175,000 shares of Fisher Scientific International Inc. common stock in connection with the merger of Thermo Fisher Scientific Inc. (formerly known as Thermo Electron Corporation), Trumpet Merger Corporation and Fisher Scientific
- (1) International Inc. ("FSH") (the "Merger"). On the effective date of the Merger, the closing price of FSH's common stock was \$86.58 per share, and the closing price of TMO's common stock was \$43.37 per share.
- (2) Received in exchange for 75,000 Restricted Stock Units accrued under FSH's 2005 Equity and Incentive Plan. The Restricted Stock Units were settled pursuant to the Merger for 2.0 shares of TMO common stock on the effective date of the Merger.
- (3) Received in exchange for 24,230 Restricted Stock Units accrued under FSH's 2005 Equity and Incentive Plan. The Restricted Stock Units were settled pursuant to the Merger for 2.0 shares of TMO common stock on the effective date of the Merger.
- (4) Received in exchange for 492,106 shares of FSH common stock in connection with the Merger. On the effective date of the Merger, the closing price of FSH's common stock was \$86.58 per share, and the closing price of TMO's common stock was \$43.37 per share.
- (5) The shares are held in a number of trusts for the benefit of the reporting person.
- (6) Received in the Merger in exchange for a stock option to acquire 432,302 shares of FSH common stock for \$9.50 per share.
- (7) Received in the Merger in exchange for a stock option to acquire 300,000 shares of FSH common stock for \$39.24 per share.
- (8) Received in the Merger in exchange for a stock option to acquire 250,000 shares of FSH common stock for \$24.00 per share.
- (9) Received in the Merger in exchange for a stock option to acquire 100,000 shares of FSH common stock for \$30.00 per share.

Edgar Filing: THERMO FISHER SCIENTIFIC INC. - Form 4

- (10) Received in the Merger in exchange for a stock option to acquire 300,000 shares of FSH common stock for \$28.40 per share.
- (11) The option vests in three equal annual installments beginning on November 9, 2007.
- (12) Received in the Merger in exchange for a stock option to acquire 59,600 shares of FSH common stock for \$61.35 per share.
- (13) Received in the Merger in exchange for a stock option to acquire 86,040 shares of FSH common stock for \$64.60 per share.
- (14) Received in the Merger in exchange for a stock option to acquire 89,400 shares of FSH common stock for \$61.35 per share.
- (15) These options are held by a family trust for the benefit of the reporting person.
- (16) Received in the Merger in exchange for a stock option to acquire 57,360 shares of FSH common stock for \$64.60 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.