Edgar Filing: PINNACLE WEST CAPITAL CORP - Form 5

PINNACLE WEST CAPITAL CORP

Form 5

February 14, 2002

FOR	M 5			OMB APPROVAL					
[]] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).] Form 3 Holdings Reported] Form 4 Transactions Reported			OMB Number 3235-03 Expires: December 31, 20 Estimated average burden hours per response					
	Ţ	JNITED STATES :	SECURITIES A		OMMISSION				
	ed pursuant to	ic Utility Ho	of the Sec lding Compar	curities Excha	nge Act of 1934, Section or Section 30(f) of the				
1.	Name and Add	ress of Report:	ing Person*	========	===========				
	Flores	Armando	В.						
	(Last)	(First)	(Middle)						
	400 N. 5th St	reet							
	(Street)								
	Phoenix,	AZ	85004						
	(City	(State)	(Zip)						
2.	Issuer Name and Ticker or Trading Symbol								
	Pinnacle West	Capital Corp	oration (PNV	Ŵ)					
3.	I.R.S. Identi	fication Numbe	er of Report	ting Person, i	f an entity (Voluntary)				
=== 4.	Statement for	 Month/Year							
	12/01								
=== 5.	If Amendment, (Month/Year)	Date of Orig	inal						
6.	Relationship of Reporting Person(s) to Issuer (Check all applicable)								
	[] Director [X] Officer	(give title be	low)	[] 10% Owner [] Other (sp					
	Executive Vic	ce President							
=== 7.		Joint/Group led by One Repor	_		Line)				

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[] Form filed by More than One Reporting Person

(1) 11-13-11 Common Stock 11,500

Action action or Disposed of (D) Date Code (Instr. 3, 4 and 5) eficially Owned For (Month/ (Instr.8) — stend of recommon Stock (Month/ (Instr.8) — stend of recommon Stock (Instr. 3, 4 and 5) — stend of recommon Stock (Instr. 3) — stend of Instruction (Instr. 3) — stend of Instr. 3 — stend of Instruction (Instr. 3) — stend of Instruction (Instr. 5) — stend of Instr. 5 — stend of Instruction (Instr. 5) — stend of Instruction (I									
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Day/ Year) Amount (D) Price Tear (Instr. 3 rec and 4) (Instr. 3) reconstruction (Instr. 4) reconstruction (Instr. 5) reconstruction (Instr. 6) reconstruction (Instr. 7) reconstruction (Instr. 8) recon		_	action Date (Month/ Day/	action Code	or Disposed of (D) (Instr. 3, 4 and 5)))	Securities Be eficially Own	ned Form:
* If the form is filed by more than one reporting person, see Instruction 4(b)(v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OME Number Table II—DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (e.g., puts, calls, warrants, options, convertible securities) 1.Title of Derivative Security 2.Conver— 3.Trans— 4.Transac— 5.Numb (Instr. 3) sion or action tion tive Exer— Date Code Acqu cise (Month/ (Instr.8) Disp Price of Day/ Deriva— Year) tive Se— curity (A) Employee Stock Option \$42.55 11-14-01 A 11, (Right to Buy) 6.Date Exercis— 7.Title and Amount of 8.Price 9.Number 10.Owner— able and Expi— Underlying Securities of of De— ship of ration Date (Instr. 3 and 4) De— rivative Deriva— tive Se— (Month/Day/ riva— Securi— tive Se- Year) tive ties Ben— curity; Date Expira— Amount or rity Owned at (D) or Exer— tion Number of (Instr. 5) End of Year Indirect				(111561.0)				Issuer's Fisc Year (Instr.	
* If the form is filed by more than one reporting person, see Instruction 4(b) (v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number Table IIDERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (e.g., puts, calls, warrants, options, convertible securities) 1.Title of Derivative Security 2.Conver 3.Trans 4.Transac 5.Numb (Instr. 3) Sion or action tion tive Exer Date Code Acquesies (Month/ (Instr.8) Dispose (Month/ (Instr.8) Dispose (Month/ (Instr.8) Dispose (Month/ Exer College) (Instruction College) Derivary (A) Employee Stock Option S42.55 11-14-01 A 11, (Right to Buy) 6.Date Exercis 7.Title and Amount of 8.Price 9.Number 10.Owner able and Expi Underlying Securities of of Deship of ration Date (Instr. 3 and 4) Derivative Derivative Derivative Derivative Security (Month/Day/ rivar Securitive Security Securi	Common Stock		11-14-01	Α	2,300	A		18,451	D
* If the form is filed by more than one reporting person, see Instruction #(b)(v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number Table IIDERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (e.g., puts, calls, warrants, options, convertible securities) 1.Title of Derivative Security 2.Conver- 3.Trans- 4.Transac- 5.Numb (Instr. 3) sion or action tion tive Exer- Date Code Acqu cise (Month/ (Instr.8) Disp Price of Day/ Deriva- Year) tive Se- curity (A) 2.Instruction Date (Instr. 3 and 4) Derivative Derivative Securities of of De- ship of ration Date (Instr. 3 and 4) (Month/Day/ Year) Tive- Year) Tive- Year) Tive- Year) Tive- Year) Tive- Year Tiva- Securities Ben- curity: Security Tive- Security Date Expira- Amount or rity Owned at (D) or Exer- Today Number of (Instr. 5) End of Year Indirect	Common Stock							1,388	I
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	Exer-	tion	Title	Numbe	er of	rity	Owi	ned at nd of Year	(D) or

D

11,500

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Explanation of Responses:

(1) The option becomes exercisable 1/3 of the grant per year commencing 11-14-02.

Armando B. Flores 02-14-02
Armando B. Flores Date

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**Signature of Reporting Person