Zargar Ehsan Form 3 October 03, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Spectrum Brands Holdings, Inc. [SPB] A Zargar Ehsan (Month/Day/Year) 10/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O SPECTRUM BRANDS (Check all applicable) HOLDINGS, INC., Â 3001 **DEMING WAY** 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) EVP, GC & Corporate Secretary \_X\_ Form filed by One Reporting Person MIDDLETON, WIÂ 53562 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned (Instr. 4) Ownership Ownership (Instr. 4) (Instr. 5) Form:

Common Stock  $25,366 \frac{(1)}{2}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

D

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Direct (D) or Indirect (I) (Instr. 5)

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 Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy) $\underline{^{(1)}}$	(2)	11/25/2024(3)	Common Stock	5,009	\$ 82.86	D	Â
Employee Stock Option (right to buy) (1)	(2)	11/29/2023(3)	Common Stock	3,958	\$ 72.92	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Zargar Ehsan C/O SPECTRUM BRANDS HOLDINGS, INC. 3001 DEMING WAY MIDDLETON. WI 53562	Â	Â	EVP, GC & Corporate Secretary	Â	

### **Signatures**

/s/ Ehsan Zargar	10/03/2018
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The equity awards reported herein were received in connection with the reporting person's employment with HRG Group, Inc., the predecessor to the Issuer, and do not reflect any awards granted in connection with the reporting person's employment with the Issuer.
- (2) The employeee stock options reported on this line are vested and exercisable.
- The options reported on this line expire on the tenth anniversary of the date of grant, except as set forth in the Amended and Restated

  2) Petentian Letter dated as of September 15, 2017, by and between HPG Group. Inc. and the reporting person (as filed as Exhibit 10.22)
- (3) Retention Letter, dated as of September 15, 2017, by and between HRG Group, Inc. and the reporting person (as filed as Exhibit 10.22 to the Annual Report on Form 10-K, filed November 20, 2017).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2