

Edgar Filing: ROGERS GREGORY T - Form 4

ROGERS GREGORY T  
Form 4  
March 14, 2003

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OMB APPROVAL  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject of Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

(Print or Type Responses)

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1. Name and Address of Reporting Person\*

Rogers Gregory T.  
-----  
(Last) (First) (Middle)

One Rockefeller Plaza  
-----  
(Street)

New York New York 10020  
-----  
(City) (State) (Zip)

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2. Issuer Name AND Ticker or Trading Symbol

BKF Capital Group, Inc./BKF  
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3. IRS Identification Number of Reporting Person, if any (Voluntary)

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4. Statement for Month/Day/Year

March 12, 2003  
=====

5. If Amendment, Date of Original (Month/Day/Year)

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6. Relationship of Reporting Person to Issuer



FORM 4 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

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| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3A<br>Deemed<br>Exe-<br>cution<br>Date,<br>if<br>any<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr. 8)<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>Date Expira-<br>tion | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Numbe<br>of<br>of<br>Share |
|--|---|--|--|--|---|--|--|
| Restricted<br>Stock Units                              | 1 for 1   | 3/12/03  |  | A  | 13,240  | (1)  | Common<br>Stock 13,240   |
| Restricted<br>Stock Units                              | 1 for 1   | 3/12/03  |  | A  | 28,333  | (2)  | Common<br>Stock 28,333   |
|  |   |  |  |  |   |  |  |
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Explanation of Responses:

(1) One-third of the restricted stock units (RSUs) will vest on each of December 31, 2003, December 31, 2004 and December 31, 2005, but the delivery of the shares of Common Stock underlying the RSUs will not occur until December 31, 2005, and delivery can be deferred by the reporting person.

(2) The restricted stock units (RSUs) will vest on December 3, 2005, but the delivery of shares of Common Stock underlying the RSUs may be deferred by the reporting person.

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/s/ Gregory T. Rogers

March 14, 2003

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\*\*Signature of Reporting Person

-----  
Date

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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