Edgar Filing: Express Scripts Holding Co. - Form 4

Express Scri Form 4 March 01, 2	pts Holding Co. 016											
FORM		OMB APPROVAL										
-	UNITED STAT	ES SECURITIES AND EXCHANGE (Washington, D.C. 20549	0	0MB 3235-0287 Jumber:								
Check th if no lon subject to Section	s TATEMENT STATEMENT	OF CHANGES IN BENEFICIAL OW SECURITIES	NERSHIP OF E	Expires: January 31 2009 Estimated average burden hours per								
Form 4 or Form 5 obligations may continue.response0.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19400.												
(Print or Type Responses)												
1. Name and A Norton Dav	Address of Reporting Person <u>*</u> id A	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer									
		Express Scripts Holding Co. [ESRX]	(Check all applicable)									
	(First) (Middle) ESS SCRIPTS COMPANY, ONE	3. Date of Earliest Transaction (Month/Day/Year) 02/28/2016	Director 10% Owner X Officer (give title 0ther (specify below) below) SVP, Specialty & Supply Chain									
EXPRESS	WAY		5 vi , specially	a supply chain								
	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person									
ST. LOUIS	, MO 63121		Form filed by More Person	than One Reporting								
(City)	(State) (Zip)	Table I - Non-Derivative Securities Acq	uired, Disposed of, or	Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date 2A. D (Month/Day/Year) Execu any (Mont		SecuritiesForBeneficially(D)OwnedInc.	Ownership7. Nature oform: DirectIndirectorBeneficialdirect (I)Ownershipnstr. 4)(Instr. 4)								
Common Stock	02/28/2016	F $\frac{1,685}{(1)}$ D $\frac{$}{71.33}$	11,160 D									

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

		Luyari	ming. Express d			-10				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Unit (2)	\$ 0 <u>(3)</u>	02/29/2016		А	188.8342		<u>(4)</u>	<u>(4)</u>	Express Scripts Holding Company Common Stock	188.8342
Repo	rting O	wners								
	Reporting Owner Name / Address			Relationships						
			Di	Director 10% Owner Officer				Other		
ONE EX			COMPANY		S	SVP,	Specialty &	& Supply C	Chain	
Signa	atures									
-		as Attorney-in-Fac	et for David A.		03/01/201	16				
	**Signature of Reporting Person				Date					
Expla	anation	of Respo	nses:							
* If the	If the form is filed by more than one reporting person, <i>see</i> Instruction $4(b)(v)$.									
** Intent	Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).									
(1) ~	Represents shares tendered to Company to cover Reporting Person's tax liability due upon vesting of restricted stock units previously awarded under long-term incentive plans.									

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- (2) Phantom Stock Unit credited under the Company's Executive Deferred Compensation Plan.
- (3) Upon distribution each Phantom Stock Unit converts to one share of the Company's Common Stock.
- (4) Basic Company Credit by Express Scripts Holding Company to Participant's Account in the Executive Deferred Compensation Plan; shares vest 3 (three) years after the plan year to which such credit relates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.