Edgar Filing: Northfield Bancorp, Inc Form SC 13G/A
Northfield Bancorp, Inc. Form SC 13G/A February 14, 2019
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549
SCHEDULE 13G
Under the Securities Exchange Act of 1934
(Amendment 5)*
Northfield Bancorp, Inc. (Name of Issuer)
Common Stock, par value \$0.01 per share (Title of Class of Securities)
66611T 108 (CUSIP Number)
December 31, 2018 (Date of Event Which Requires Filing of This Statement)
Check the appropriate box to designate the rule pursuant to which this Schedule is filed:
[X] Rule 13d-1(b)
[]Rule 13d-1(c)
[]Rule 13d-1(d)
*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of

CUSIP NO. 66611T 108 13G Page 2 of 5 Pages

```
Names of Reporting
            Persons
1
            Northfield Bank
            Employee Stock Ownership
            Plan Trust
            Check the Appropriate Box
            if a Member of a Group
            (See Instructions)
2
            (a) [ ]
            (b) [X]
            SEC Use Only
3
            Citizenship or Place of
            Organization
4
            New Jersey
             Sole Voting Power
Number of
             2,492,126
Shares
             Shared Voting Power
Beneficially 6
Owned by
             1,107,207
             Sole Dispositive Power
Each
Reporting
Person
             3,526,074
With:
             Shared Dispositive Power
             73,259
            Aggregate Amount
            Beneficially Owned by
9
            Each Reporting Person
            3,599,333
            Check if the Aggregate
            Amount in Row (9)
            Excludes Certain Shares
10
            (See Instructions)
            [ ]
11
            Percent of Class
            Represented by Amount in
            Row 9
            7.3% of 49,635,673 shares
            of Common Stock
            outstanding as of December
```

31, 2018. Type of Reporting Person (See Instructions)

т

12

EP

CUSIP NO. 66611T 108 13GPage 3 of 5 Pages

Item 1

(a) Name of Issuer

Northfield Bancorp, Inc.

(b) Address of Issuer's Principal Executive Offices

581 Main Street, Suite 810 Woodbridge, New Jersey 07095

Item 2

(a) Name of Person Filing

Northfield Bank Employee Stock Ownership Plan Trust Trustee: Pentegra Trust Company

(b) Address of Principal Business Office

c/o Pentegra Services, Inc. 2 Enterprise Drive, Suite 408 Shelton, Connecticut 06484

(c) Citizenship or Place of Organization

See Page 2, Item 4.

(d) Title of Class of Securities

Common Stock, par value \$0.01 per share

(e) CUSIP Number

See Page 1.

Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b, or (c), check whether the person filing is a:

(f) [X] An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F).

CUSIP NO. 66611T 108 13GPage 4 of 5 Pages

Item 4. Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owned: See Page 2, Item 9.
- (b) Percent of class: See Page 2, Item 11.
- (c) Number of shares as to which the person has:
- (i) Sole power to vote or to direct the vote: See Page 2, Item 5.
- (ii) Shared power to vote or to direct the vote: See Page 2, Item 6.
- (iii) Sole power to dispose or to direct the disposition of: See Page 2, Item 7.
- (iv) Shared power to dispose or to direct the disposition of: See Page 2, Item 8.

Item 5. Ownership of Five Percent or Less of a Class

Not applicable

Item 6. Ownership of More Than Five Percent on Behalf of Another Person

Not applicable

Item Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the 7. Parent Holding Company or Control Person

Not applicable

Item 8. Identification and Classification of Members of the Group

The reporting person is an employee benefit plan subject to the provisions of the Employee Retirement Income Security Act of 1974.

Item 9. Notice of Dissolution of Group

Not applicable

Item 10. Certification

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under §240.14a-11.

CUSIP NO. 66611T 108 13GPage 5 of 5 Pages

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

NORTHFIELD BANK EMPLOYEE STOCK OWNERSHIP PLAN TRUST

Date: February 13, 2019 By: Pentegra Trust Company, as Trustee

/s/ William J. Pieper Name: William J. Pieper Title: Senior Vice President