

Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP
 Form 4
 May 11, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
 Goldstein, Arthur L.
 Ionics, Inc.
 65 Grove Street
 Watertown, MA 02272
2. Issuer Name and Ticker or Trading Symbol
 State Street Corporation
 STT
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
 4/30/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 (X) Director () 10% Owner () Officer (give title below) () Other
 (specify below)
7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Transaction Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Month Price |
|----------------------|---------------------|---------------------|---|---|
| Common Stock | 4/18/01 | A(1)V | 478 | 104.54 |
| Common Stock | 4/18/01 | A(2)V | 478 | 7,371(3) |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Percentage of Total Shares Owned |
|---------------------------------|--|---------------------|---------------------|--|--|--|-------------------------------------|
|---------------------------------|--|---------------------|---------------------|--|--|--|-------------------------------------|

Edgar Filing: STATE STREET CORP - Form 4

| Priority | Date | Code | V | Amount | ble | | | | |
|----------|------|------|---|--------|-----|--|--|--|--|
| | | | | | | | | | |

Explanation of Responses:

(1) Payment of director's retainer.

(2) Payment of Director's Deferred Stock Award exempt under Rule 16b-3(d).

(3) Includes Directors' Deferred Stock Award dividend equivalents.

SIGNATURE OF REPORTING PERSON

/s/Arthur L. Goldstein

DATE

5/4/01