#### MUTUALFIRST FINANCIAL INC

Form 4 July 03, 2013

## FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

if no longer subject to Section 16.

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 4 or Form 5 obligations **SECURITIES** 

Estimated average burden hours per response...

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MCVICKER JERRY DEAN

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

MUTUALFIRST FINANCIAL INC

(Check all applicable)

[MFSF]

(Last)

(City)

(Middle)

(Zip)

3. Date of Earliest Transaction

X\_ Director 10% Owner

(Month/Day/Year)

MUTUALFIRST FINANCIAL, 110

(Street)

(State)

(First)

06/18/2013

Officer (give title Other (specify below)

EAST CHARLES STREET

(Month/Day/Year)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

Issuer

MUNCIE, IN 47305-2400

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned **Following** (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4)

Reported (A) Transaction(s)

or (Instr. 3 and 4) Price (D)

Code Common

V Amount

06/18/2013 V 745 \$0 A 28,939 D Stock

Common Stock

11,806 I

Spouse **IRA** 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date (Month/Day/Year) |                 | Underlying Securities (Instr. 3 and 4) |                                        | 8. Pr<br>Deri<br>Secu<br>(Inst |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------|----------------------------------|-----------------|----------------------------------------|----------------------------------------|--------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code V                                 | (A) (D)                                                                                   | Date<br>Exercisable              | Expiration Date | Title                                  | Amount<br>or<br>Number<br>of<br>Shares |                                |
| Stock Option (Right to Purchase)                    | \$ 11.37                                                              |                                         |                                                             |                                        |                                                                                           | 12/19/2012                       | 12/19/2027      | Common<br>Stock                        | 5,000                                  |                                |
| Stock<br>Option<br>(Right to<br>Purchase)           | \$ 14.5                                                               |                                         |                                                             |                                        |                                                                                           | (2)                              | 01/12/2016      | Common<br>Stock                        | 5,000                                  |                                |

## **Reporting Owners**

| Reporting Owner Name / Address                                                                   | Relationships |           |         |       |  |  |
|--------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|--|--|
| <b>Fg</b>                                                                                        | Director      | 10% Owner | Officer | Other |  |  |
| MCVICKER JERRY DEAN<br>MUTUALFIRST FINANCIAL<br>110 EAST CHARLES STREET<br>MUNCIE, IN 47305-2400 | X             |           |         |       |  |  |

## **Signatures**

/s/ Rosalie A.
Petro, POA

\*\*Signature of Reporting Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options were issued under the Issuer's 2008 Stock Option and Incentive Plan and were immediately exercisable.
- (2) These options vested in five equal annual installments beginning January 12, 2001, the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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