

Edgar Filing: EMPIRE RESORTS INC - Form 10-K

EMPIRE RESORTS INC
Form 10-K
March 14, 2007

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 10-K

ANNUAL REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES
EXCHANGE ACT OF 1934

For the fiscal year ended December 31, 2006

OR

TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES
EXCHANGE ACT OF 1934

For the transition period from _____ to _____

Commission file number 1-12522

EMPIRE RESORTS, INC.

(Exact name of registrant as specified in its charter)

Delaware

13-4141279

(State or other jurisdiction of
incorporation or organization)

(I.R.S. Employer Identification No.)

701 N. Green Valley Parkway, Suite 200, Henderson, NV

89074

(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code (702) 990-3355

Securities registered under Section 12(b) of the Act:

Title of each class

Name of each exchange on
which registered

Common Stock, \$.01 par value per share

Nasdaq Global Market

5-1/2% Secured Convertible Notes Due 2014

The PORTAL Market

Securities registered under Section 12(g) of the Exchange Act:
Common Stock, \$.01 par value per share

(Title of class)

Indicate by check mark if the registrant is a well-known seasoned
issuer, as defined in Rule 405 of the Securities Act. Yes No

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Indicate by check mark if the registrant is not required to file reports pursuant to Section 13 or Section 15(d) of the Act. Yes [] No [X]

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days. Yes [X] No []