

BERMAN ROBERT ALAN
Form 5
February 14, 2005

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
BERMAN ROBERT ALAN

(Last) (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol
EMPIRE RESORTS INC [NYNY]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2004

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Executive Officer

C/O MONTICELLO
RACEWAY,Â ROUTE 17B

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

MONTICELLO,Â NYÂ 12701

(City) (State) (Zip)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|--|--|---|
| Common Stock, \$0.01 par value per share | 06/02/2004 | Â | J ⁽¹⁾ | 3,039,283 A | \$ 0 4,137,377 | D | Â |
| Common Stock, \$0.01 par | 09/29/2004 | Â | J ⁽²⁾ | 928,269 D | \$ 0 3,209,108 | D | Â |

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|--|------------|---|------------------|-----------|---|------|-----------|---|--|--|
| value per share | | | | | | | | | | |
| Common Stock, \$0.01 par value per share | 09/29/2004 | Â | J ⁽³⁾ | 101,500 | A | \$ 0 | 101,500 | I | | By Avon Road Partners, LP |
| Common Stock, \$0.01 par value per share | 09/29/2004 | Â | J ⁽²⁾ | 928,269 | A | \$ 0 | 1,029,769 | I | | By Avon Road Partners, LP |
| Common Stock, \$0.01 par value per share | 09/29/2004 | Â | J ⁽⁴⁾ | 31,833 | A | \$ 0 | 1,061,602 | I | | By Avon Road Partners, LP |
| Common Stock, \$0.01 par value per share | 06/02/2004 | Â | J ⁽⁵⁾ | 101,500 | A | \$ 0 | 113,772 | I | | By Trust ⁽⁶⁾ |
| Common Stock, \$0.01 par value per share | 09/29/2004 | Â | J ⁽³⁾ | 101,500 | D | \$ 0 | 12,272 | I | | By Trust |
| Common Stock, \$0.01 par value per share | 06/02/2004 | Â | J ⁽⁷⁾ | 3,207,141 | D | \$ 0 | 25,000 | I | | By Watertone Holdings, L.P. ⁽⁸⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Price of Underlying Security (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|--|--|---|--|--|

