

Edgar Filing: COLLECTORS UNIVERSE INC - Form SC 13G/A

COLLECTORS UNIVERSE INC
Form SC 13G/A
July 18, 2005

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D. C. 20549

SCHEDULE 13G/A

(Amendment No. 2)

Collectors Universe, Inc.

(Name of Issuer)

Common Stock, \$.001 Par Value Per Share

(Title of Class of Securities)

19421R200

(CUSIP Number of Class of Securities)

June 27, 2005

(Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this
Schedule is filed:

[] RULE 13d-1(b)
[X] RULE 13d-1(c)
[] RULE 13d-1(d)

CUSIP NO. 19421R200

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1) Name And I.R.S. Identification No. Of Reporting Person

Shannon River Fund Management Co LLC

2) Check The Appropriate Box If A Member Of A Group (See Instructions)

(a)

(b) [X]

3) SEC Use Only

4) Citizenship Or Place Of Organization: Delaware

NUMBER OF SHARES

5) Sole Voting Power:

313,846 Shares (1)

BENEFICIALLY OWNED

BY EACH REPORTING

6) Shared Voting Power

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PERSON WITH

7) Sole Dispositive Power:
313,846 Shares (1)

8) Shared Dispositive Power

9) Aggregate Amount Beneficially Owned By Each Reporting Person :
313,846 Shares (1)

10) Check Box If The Aggregate Amount In Row (9) Excludes Certain Shares ☐
(See Instructions)

11) Percent of Class Represented by Amount in Row (9):
3.6% of Common Stock

12) Type of Reporting Person (See Instructions) OO (Limited Liability Company)

(1) Shannon River Fund Management Co LLC holds an indirect beneficial interest in these shares which are directly beneficially owned by Shannon River Partners, L.P and Shannon River Partners II, L.P., to which First New York Securities LLC disclaims any beneficial ownership interest. Spencer Waxman holds an indirect beneficial ownership interest in these shares.

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1) Name And I.R.S. Identification No. Of Reporting Person

Shannon River Partners, LP 05-0544322

2) Check The Appropriate Box If A Member Of A Group (See Instructions)
(a)
(b) ☒ Reporting person is affiliated with other persons

3) SEC Use Only

4) Citizenship Or Place Of Organization: Delaware

NUMBER OF SHARES 5) Sole Voting Power:
82,203 Shares (1)

BENEFICIALLY OWNED BY EACH REPORTING 6) Shared Voting Power

PERSON WITH

7) Sole Dispositive Power:
82,203 Shares (1)

8) Shared Dispositive Power

9) Aggregate Amount Beneficially Owned By Each Reporting Person :
82,203 Shares (1)

10) Check Box If The Aggregate Amount In Row (9) Excludes Certain Shares ☐
(See Instructions)

11) Percent of Class Represented by Amount in Row (9):
1.0% of Common Stock

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12) Type of Reporting Person (See Instructions) PN

(1) Shannon River Partners, L.P. directly beneficially owns these shares of Common Stock, to which First New York Securities LLC disclaims any beneficial ownership interest.

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1) Name And I.R.S. Identification No. Of Reporting Person

Shannon River Partners II, LP 20-0597408

2) Check The Appropriate Box If A Member Of A Group (See Instructions)

(a)

(b) ☒ Reporting person is affiliated with other persons

3) SEC Use Only

4) Citizenship Or Place Of Organization: Delaware

NUMBER OF SHARES

5) Sole Voting Power:

231,643 Shares (1)

BENEFICIALLY OWNED

BY EACH REPORTING

6) Shared Voting Power

PERSON WITH

7) Sole Dispositive Power:

231,643 Shares (1)

8) Shared Dispositive Power

9) Aggregate Amount Beneficially Owned By Each Reporting Person :

231,643 Shares (1)

10) Check Box If The Aggregate Amount In Row (9) Excludes Certain Shares ☐
(See Instructions)

11) Percent of Class Represented by Amount in Row (9):

2.7% of Common Stock

12) Type of Reporting Person (See Instructions) PN

(1) Shannon River Partners II, L.P. directly beneficially owns these shares of Common Stock, to which First New York Securities LLC disclaims any beneficial ownership interest.

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1) Name And I.R.S. Identification No. Of Reporting Person

First New York Securities, LLC 13-3270745

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2) Check The Appropriate Box If A Member Of A Group (See Instructions)
(a)
(b) ☒ Reporting person is affiliated with other persons

3) SEC Use Only

4) Citizenship Or Place Of Organization: New York

NUMBER OF SHARES 5) Sole Voting Power:
85,035 Shares (1)

BENEFICIALLY OWNED
BY EACH REPORTING 6) Shared Voting Power

PERSON WITH

7) Sole Dispositive Power:
85,035 Shares (1)

8) Shared Dispositive Power

9) Aggregate Amount Beneficially Owned By Each Reporting Person :
85,035 Shares (1)

10) Check Box If The Aggregate Amount In Row (9) Excludes Certain Shares ☐
(See Instructions)

11) Percent of Class Represented by Amount in Row (9):
1.0% of Common Stock

12) Type of Reporting Person (See Instructions) OO (Limited Liability Company)

(1) First New York Securities, LLC directly beneficially owns these shares of
Common Stock, to which each of Shannon River Partners, L.P., Shannon River
Partners II, L.P. and Shannon River Fund Management CO LLC disclaims any
beneficial ownership interest. Spencer Waxman, as Portfolio Manager with respect
to these shares, holds an indirect beneficial interest in these shares.

ITEM 1(a). Name of Issuer:
Collectors Universe, Inc.

ITEM 1(b). Address of Issuer's Principal Executive Offices: 1921 E. Alton
Avenue, Santa Ana, California 92705

ITEM 2(a). Names of Persons Filing:

Shannon River Fund Management Co LLC ("SRFM")

Shannon River Partners, LP ("SRP")

Shannon River Partners II, LP ("SRP II")

First New York Securities, LLC ("FNY")

ITEM 2(b). Address of Principal Business Office Or, If None, Residence:

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850 Third Avenue, 10th Floor, New York, New York 10022

ITEM 2(c). Citizenship:

SRFM is a Delaware Limited Liability Company

SRP and SRP II are Delaware Limited Partnerships

FNY is a New York Limited Liability Company

ITEM 2(d). Title of Class of Securities: Common Stock, \$.001 Par Value Per Share

ITEM 2(e). CUSIP Number: 19421R200

ITEM 3. If this Statement is filed pursuant to Rules 13d-1(b) or 13d-2(b) or (c), check whether the person filing is:

None of the reporting persons is an entity specified in Rule 13d-1(b) (1) (ii).

ITEM 4. Ownership:

(a) Amount beneficially owned by all reporting persons: 398,881 Shares

(b) Percent of class: 4.6% of Common Stock

(c) Number of shares as to which the reporting persons have:

(i) sole power to vote or to direct the vote:

398,881 Shares

(ii) shared power to vote or to direct the vote

(iii) sole power to dispose or to direct the disposition:

398,881 Shares

(iv) shared power to dispose or to direct the disposition

ITEM 5. Ownership of five percent or less of a class.

If this statement is being filed to reflect the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following: [x]

ITEM 6. Ownership of more than five percent on behalf of another person.

Not applicable.

ITEM 7. Identification and classification of the subsidiary which acquired the security being reported on by the parent holding company.

Not applicable.

ITEM 8. Identification and classification of members of the group.

None of the reporting persons who have filed this schedule is a person, as defined in Rule 13d-1(b) (1) (ii), promulgated pursuant to the Securities Exchange Act of 1934. The persons filing this statement are identified in

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Item 2 hereof.

ITEM 9. Notice of dissolution of group.
Not applicable.

ITEM 10. Certifications.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection or as a participant in any transaction having that purpose or effect.

SIGNATURE

After reasonable inquiry, and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: July 18, 2005

SHANNON RIVER FUND MANAGEMENT CO LLC

By: /s/ Spencer Waxman

Spencer Waxman, Managing Member

SHANNON RIVER PARTNERS, L.P.

By: Shannon River Fund Management Co LLC,
General Partner

By: /s/ Spencer Waxman

Spencer Waxman, Managing Member

SHANNON RIVER PARTNERS II, L.P.

By: Shannon River Fund Management Co LLC,
General Partner

By: /s/ Spencer Waxman

Spencer Waxman, Managing Member

FIRST NEW YORK SECURITIES, LLC

By: /s/ Harris Sufian

Name: Harris Sufian
Title: Managing Member