Edgar Filing: BORGWARNER INC - Form 5

BORGWARNER INC Form 5 February 14, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

WASHINGTON, D.C. 20549 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP // Check box if no longer subject of Section 16. Form 4 or Form 5 obligations may continue. See instruction 1(b). / /Form 3 Holdings Reported / /Form 4 Transactions Reported Filed pursuant to Section 16(a) of the Securities Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 Name and Address of Reporting Person 1. WILLIAM C. CLINE 200 S. Michigan Ave. Chicago, IL 60604 2. Issuer Name and Ticker or Trading Symbol BorgWarner Inc. (BWA) 3. IRS Identification Number of Reporting Person, if an Entity (Voluntary) 4. Statement For Month/Year DECEMBER 31, 2000 5. If Amendment, Date of Original (Month/Year) Relationship of Reporting Person to Issuer (Check all applicable) () Director (x)Officer (give title below) () 10% Owner () Other Vice President & Controller (specify below) Individual or Joint/Group Filing (Check applicable line) /x/ Form Filed by One Reporting Person --- Form Filed by More Than One Reporting Person ______ Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned ______ 1. Title of Security | 2. Transaction Date | 3. Transac- | 4. Securities Acquired | (Month/Day/Year) | tion Code | (A) or Disposed of (D) | Code | V | Amount | (A) or (D) | Price tock | 6/25/00 | G | 300 | D | -Common Stock | 5. Amount of Securities | 6. Ownership Form | 7. Nature of Indirect Beneficially Owned at | Direct (D) or | Beneficial Ownership End of Month | Indirect (I) | ______ 1 1 I 12,758.797 1 *Between 1/1/00 and 12/31/00 the reporting person acquired 52.741 shares of

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

Common Stock in the BWA Retirement Savings Plan.

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(e.g. Puts, Calls, Warrants, Options, Convertible Securities) ______ 1.Title of Deriv-|2.Conversion |3.Transaction |4.Trans- |5.Number of ative Security | or Exercise| Date(month | action | Derivative | Price of | \day\year) | Code | Securities Ac-| Derivative | | quired(A)or | Security | | Disposed of(D) | Security | | | Code | V | (A) | (D) 6.Date Exercisable |7.Title and |8.Price of |9.Number of |10.Ownership and Expiration | Amount of | Derivative| Derivative |Form of Deriv-Date(month/day/year) | Underlying | Security | Securities | ative Security Date Exer-|Expiration| Securities | Beneficially|Direct (D) or cisable | Date | Title|Amt. or | Owned At End|Indirect (I) | #of shrs| Of Month | | 22,931 | D 11. Nature of Indirect Beneficial Ownership ______ Signature of Reporting Person /s/ Laurene H. Horiszny as attorney-in-fact for WILLIAM C. CLINE

Date: February 14, 2001