HOME BANCSHARES INC

Form 4

Common

Stock

September 26, 2016

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ALLISON JOHN W Issuer Symbol HOME BANCSHARES INC (Check all applicable) [HOMB] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner X_ Officer (give title Other (specify (Month/Day/Year) below) below) P.O. BOX 966 09/23/2016 Chairman (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting CONWAY, AR 72033 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transactionor Disposed of (D) Securities Ownership Indirect (Instr. 3, 4 and 5) (Instr. 3) Code Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code Amount (D) Price Common 03/01/2016 G V 2,000 D \$0 $7,643,984 \stackrel{(1)}{=} D$ Stock Common 09/23/2016 J 2,650,000 D \$ 22 4,993,984 (2) D Stock Common 286,666 (1) Stock -D (3) (4) (5) Restricted Common 13,706.312 I By 401(k) Stock (1)(6)

By

Capital

 $67,328 \frac{(1)}{2}$

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	Persons who respond to the collect	tion of	SEC 1474
Reminder: Report on a separate line for each class of securities benefic	cially owned directly or indirectly.		
Common Stock	855,360 <u>(1)</u>	I	By wife
Common Stock	16,272 <u>(1)</u>	I	By IRA
			Buyers

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. or Number of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Da (Month/Day/Y e s	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 4.27 <u>(7)</u>					(8)	01/17/2018	Common Stock	71,280 (1)	
Stock Option	\$ 4.3 <u>(7)</u>					<u>(9)</u>	01/09/2018	Common Stock	9,504 (1)	
Stock Option	\$ 5.08 (7)					(10)	01/18/2017	Common Stock	95,040 (1)	
Stock Option	\$ 8.62 (7)					01/18/2014	01/17/2023	Common Stock	100,000 (1)	
Stock Option	\$ 2.1 <u>(7)</u>					<u>(11)</u>	<u>(11)</u>	Common Stock	1,425 (1)	
Stock Option	\$ 2.46 (7)					(12)	(12)	Common Stock	2,851 (1)	
Stock Option	\$ 2.66 <u>(7)</u>					<u>(13)</u>	<u>(13)</u>	Common Stock	4,276 <u>(1)</u>	

Reporting Owners

Relationships

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Director 10% Owner Officer Other

ALLISON JOHN W P.O. BOX 966 CONWAY, AR 72033

Chairman

Signatures

/s/John W. Allison by Rachel
Wesson 09/26/2016

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

X

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person received shares as a result of the Company declaring a 2-for-1 stock split to shareholders of record as of May 18, 2016 and made payable June 8, 2016.
- The reporting person sold these shares to the underwriter in a registered, underwritten secondary offering. The reported sale price reflects the public offering price of \$22.00 per share. The reporting person received a price per share of \$21.56, net of underwriting discounts and commissions.
- (3) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
- (4) Restricted Stock granted on January 17, 2014 will vest in 33 1/3% installments over three years each January 17th.
- (5) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.
- (6) Includes 156.676 shares acquired through the Home BancShares, Inc. 401(k) Plan since the reporting person's last filing.
- (7) The exercise price decreased as a result of the Company declaring a 2-for-1 stock split to shareholders of record May 18, 2016 and made payable June 8, 2016.
- (8) The option became exercisable in five equal annual installments beginning on January 18, 2009.
- (9) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (10) The option became exercisable in two equal annual installments beginning on January 19, 2008.
- (11) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2003 and would have expired on December 31, 2013.
- (12) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and would have expired on December 31, 2014.
- The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and would have expired on December 31, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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