## Edgar Filing: THOMPSON J KENNETH - Form 4

THOMPSON J	KENNETH									
Form 4										
November 21,	2017									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL			
<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b> Washington, D.C. 20549							OMB Number:	3235-0287		
Check this b			0 /					Expires:	January 31,	
if no longer subject to	GES IN BI	ES IN BENEFICIAL OWNERSH					2005			
Section 16.		SECURITIES						verage rs per		
Form 4 or							response	0.5		
Form 5	Filed pursu	uant to Section 16	(a) of the	Securitie	s Exc	change	e Act of 1934,			
obligations may continu	Section 17(a)	of the Public Uti	lity Holdir	ng Comp	any /	Act of	1935 or Section	1		
See Instruct 1(b).		30(h) of the Inv	estment C	ompany	Act of	of 194	0			
(Print or Type Res	nonses)									
(Thit of Type Res	ponses)									
1. Name and Address of Reporting Person       2. Issuer Name         THOMPSON J KENNETH       Symbol				ame and Ticker or Trading			5. Relationship of Reporting Person(s) to Issuer			
		-	TECH INC		1					
<i>(</i> <b>-</b> )				-	•1		(Checl	k all applicable	)	
(Last)	(First) (Mi		Earliest Tran	Isaction			V D'	100	0	
3475 EAST FOOTHILL (Month/Day 11/17/201							X Director Officer (give		Owner er (specify	
3475 EAST FOOTHILL 11/17/201 BOULEVARD			1				below)	below)	· • •	
DOULLVIII										
				ment, Date Original			6. Individual or Joint/Group Filing(Check			
Filed(Month/			h/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
PASADENA,,	, CA 91107						Form filed by M Person			
	(Stata) (7	Zip) Tabla								
(City)	(State) (Z	Table	I - Non-Der	rivative Se	curiti	es Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Date		3.	4. Securi			5. Amount of	6. Ownership		
Security	(Month/Day/Year)	Execution Date, if		onAcquirec			Securities	Form: Direct		
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	Disposed (Instr. 3,			Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership	
		(infondi, Duj, Four)	(1151.0)	(111541. 5,	i una	5)	Following	(Instr. 4)	(Instr. 4)	
					(A)		Reported			
					or		Transaction(s)			
			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
COMMON STOCK	11/17/2017		А	900 <u>(1)</u>	А	\$0	14,795 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
STOCK OPTION (RIGHT TO BUY)	\$ 47.95	11/17/2017		A	4,200	11/17/2018 <u>(3)</u>	11/17/2027	COMMON STOCK	4,20

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
THOMPSON J KENNETH 3475 EAST FOOTHILL BOULEVARD PASADENA,, CA 91107	Х					
Signatures						
JANIS SALIN for J. KENNETH THOMPSON	11/21/2017					
<pre>**Signature of Reporting Person</pre>		Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 900 restricted stock units (RSUs) were awarded to the reporting person on November 17, 2017. The RSUs will become vested as to 100% of the shares on November 18, 2018.
- (2) Includes 400 shares as gift to charitable foundation transacted on 11/30/2016.
- (3) The option becomes exercisable as to 100% of the shares on the anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.