**SOTHEBYS** 

Form 8-K

August 08, 2016

**UNITED** 

**STATES** 

**SECURITIES** 

**AND** 

**EXCHANGE** 

**COMMISSION** 

WASHINGTON,

D.C. 20549

#### FORM 8-K

#### **CURRENT REPORT**

PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

August 8, 2016

Date of Report (Date of Earliest Event)

Sotheby's

(Exact name of registrant as specified in its charter)

Delaware 1-9750 38-2478409

(State or other jurisdiction of incorporation) (Commission File Number) (IRS Employer Identification No.)

1334 York Avenue

New York, NY 10021 (Address of principal executive offices) (Zip Code)

(212) 606-7000

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written

communications

- .. pursuant to ule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule

14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications
pursuant to Rule
13e-4(c) under the
Exchange Act (17
CFR 240.13e-4(c))

Item 2.02 Results of Operations and Financial Condition

On August 8, 2016, Sotheby's (the "Company") issued a press release discussing its results of operations for the three and six months ended June 30, 2016. This press release is furnished as Exhibit 99.1 to this Form 8-K.

Item 9.01 Financial Statements and Exhibits

(c) Exhibits

99.1 Sotheby's earnings press release for the three and six months ended June 30, 2016.

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Company has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### SOTHEBY'S

By: /s/ Kevin M. Delaney

Kevin M. Delaney Senior Vice President,

Controller and Chief Accounting Officer

Date: August 8, 2016

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### Exhibit Index

# Exhibit No. Description

99.1 Sotheby's earnings press release for the three and six months ended June 30, 2016.

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