JOHNSON CARL J

Form 4 May 27, 2009

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 5

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* JOHNSON CARL J

(Middle)

503 APPLEHILL COURT

(First)

3. Date of Earliest Transaction (Month/Day/Year) 05/26/2009

II-VI INC [IIVI]

(Street) 4. If Amendment, Date Original

Symbol

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ Director \_X\_\_ 10% Owner X\_ Officer (give title \_ Other (specify below)

Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

GIBSONIA, PA 15044

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			4. Securities Acquired on (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)		Transaction(s) (Instr. 3 and 4)		
Common Stock	05/26/2009		Code V $S_{(1)}^{(1)}$	Amount 100	(D)	Price \$ 21.93	2,623,018	D	
Common Stock	05/26/2009		S(1)	100	D	\$ 22.1	2,622,918	D	
Common Stock	05/26/2009		S(1)	100	D	\$ 22.29	2,622,818	D	
Common Stock	05/26/2009		S <u>(1)</u>	53	D	\$ 22.32	2,622,765	D	
Common Stock	05/26/2009		S <u>(1)</u>	100	D	\$ 22.34	2,622,665	D	

#### Edgar Filing: JOHNSON CARL J - Form 4

Common Stock	05/26/2009	S <u>(1)</u>	100	D	\$ 22.35	2,622,565	D	
Common Stock	05/26/2009	S <u>(1)</u>	164	D	\$ 22.37	2,622,401	D	
Common Stock	05/26/2009	S(1)	200	D	\$ 22.39	2,622,201	D	
Common Stock	05/26/2009	S <u>(1)</u>	100	D	\$ 22.6	2,622,101	D	
Common Stock	05/26/2009	S <u>(1)</u>	100	D	\$ 22.64	2,622,001	D	
Common Stock	05/26/2009	S <u>(1)</u>	100	D	\$ 22.76	2,621,901	D	
Common Stock	05/26/2009	S <u>(1)</u>	100	D	\$ 22.77	2,621,801	D	
Common Stock	05/26/2009	S <u>(1)</u>	100	D	\$ 22.87	2,621,701	D	
Common Stock	05/26/2009	S <u>(1)</u>	145	D	\$ 22.96	2,621,556	D	
Common Stock						755,064	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>.</b>	ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
JOHNSON CARL J 503 APPLEHILL COURT GIBSONIA, PA 15044	X	X	Chairman						

## **Signatures**

/s/ Michelle L. Freehling, Attorney-in-Fact 05/27/2009

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a rule 10b5-1 trading plan adopted by the reporting person on February 1, 2009.
- (2) The reporting person disclaims beneficial ownership of shares owned by his spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3