#### Edgar Filing: BARKLEY MICHAEL T - Form 4

#### BARKLEY MICHAEL T

Form 4

February 07, 2019

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

**OMB APPROVAL** 

Section 16. Form 4 or

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BARKLEY MICHAEL T			on * 2. Issuer N Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)				PACCAR INC [PCAR]  3. Date of Earliest Transaction			(Check all applicable)			
777 106TH A	VENUE N	E	(Month/Day 02/06/201	· · · · · · · · · · · · · · · · · · ·		Director _X_ Officer (giv below) SR. VICE PRE	e title 0the below) ESIDENT/CON	er (specify		
(Street)			4. If Amend	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
BELLEVUE,	WA 98004	ļ	Filed(Month/	'Day/Year)		Applicable Line) _X_ Form filed by Form filed by I Person	1 0			
(City)	(State)	(Zip)	Table I	- Non-Dei	rivative Securities A		of, or Beneficial	ly Owned		
1.Title of Security			2A. Deemed Execution Date, if	3. Transactio	4. Securities on Acquired (A) or	5. Amount of Securities	6. Ownership Form: Direct			

(C.	ity)	(State) (Zij	Table I	- Non-Der	ivative Se	curities Ac	quired, Disposed o	f, or Beneficial	ly Owned
1.Title Security (Instr. 3	y	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	(A) or 1 of (D) 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMI							22,300	D	
COMI STOC (ESPE	K						5.85	D	
COMI STOC (SIP)	K						20,533.67 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02)

#### Edgar Filing: BARKLEY MICHAEL T - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed or (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amo Nun Shar
STOCK OPTION (4)	\$ 43.24					01/01/2015	02/02/2022	COMMON STOCK	13
STOCK OPTION (4)	\$ 47.81					01/01/2016	02/06/2023	COMMON STOCK	11
STOCK OPTION (4)	\$ 59.15					01/01/2017	02/07/2024	COMMON STOCK	9,
STOCK OPTION (4)	\$ 62.46					01/01/2018	02/04/2025	COMMON STOCK	8,
STOCK OPTION (4)	\$ 50					01/01/2019	02/04/2026	COMMON STOCK	17
STOCK OPTION (4)	\$ 67.63					01/01/2020	02/07/2027	COMMON STOCK	12
STOCK OPTION (4)	\$ 68.69					01/01/2021	02/07/2028	COMMON STOCK	11
STOCK OPTION (4)	\$ 65.56	02/06/2019		A(4)	15,786	01/01/2022	02/06/2029	COMMON STOCK	15
COMMON STOCK (DCP) (5)	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	COMMON STOCK	982
STOCK UNITS (LTIP) (6)	<u>(6)</u>	02/06/2019		A <u>(7)</u>	5,400	<u>(6)</u>	<u>(6)</u>	COMMON STOCK	5,

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SR. VICE PRESIDENT/CONTROLLER

Reporting Owners 2

BARKLEY MICHAEL T 777 106TH AVENUE NE BELLEVUE, WA 98004

# **Signatures**

Michael T. 02/07/2019 Barkley

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in PACCAR Inc Employee Stock Purchase Plan (ESPP).
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) Balance includes shares awarded under SIP (Company match) since date of last report in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).
- (4) Option to buy awarded under PACCAR LTIP.
- (5) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one-for-one basis upon satisfaction of all applicable vesting conditions.
- (6) Restricted stock units held in deferred phantom stock account under LTIP convertible to common stock on a one-for-one basis upon satisfaction of all applicable vesting conditions.
- (7) Restricted stock units awarded under PACCAR Long Term Incentive Plan (LTIP).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3