Priest Jeffrey W Form 5

Stock

12/28/2018

February 11, 2019 **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Priest Jeffrey W Symbol **GENERAL AMERICAN** (Check all applicable) **INVESTORS CO INC [GAM]** (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner _ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2018 President & CEO **GENERAL AMERICAN** INVESTORS COMPANY, INC., Â 530 FIFTH AVE - 26TH **FLOOR** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) NEW YORK, NYÂ 10036 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned at Direct (D) Ownership (Instr. 8) end of or Indirect (Instr. 4) Issuer's Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4) (D) Amount Price Common Â Â Â Â Â Â Â 81,226 D Stock Common By Parent 12/28/2018 J(1)1,743 25,126 Ι 30.155 (2)

J(1)

3,983

Α

I

57,388

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Common Stock						\$ 30.155			By Power of Attorney
Common Stock	Â	Â	Â	Â	Â	Â	13,778	I	By Nonprofit Trust (2)
Common Stock	12/31/2018	Â	J <u>(3)</u>	3,593	A	\$ <u>(3)</u>	21,182	I	By Thrift Plan Trust
5.95% Preferred Stock	Â	Â	Â	Â	Â	Â	3,200	D	Â
5.95% Preferred Stock	Â	Â	Â	Â	Â	Â	7,739	I	By Parent
5.95% Preferred Stock	Â	Â	Â	Â	Â	Â	16,121	Ι	By Power of Attorney (2)
5.95% Preferred Stock	Â	Â	Â	Â	Â	Â	11,300	I	By Nonprofit Trust (2)
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.		Persons v	SEC 2270 (9-02)						

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

the form displays a currently valid OMB control number.

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc			le and	8. Price of	9.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	ınt of	Derivative	of
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security	D
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	•		Secur	ities	(Instr. 5)	Se
	Derivative				Securities			(Instr	. 3 and 4)		В
	Security				Acquired						O
					(A) or						Eı
					Disposed						Is
					of (D)						Fi
					(Instr. 3,						(I
					4, and 5)						`
					, ,						
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
									of		
					(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Priest Jeffrey W

GENERAL AMERICAN INVESTORS COMPANY, INC. 530 FIFTH AVE - 26TH FLOOR

Relationships

Other

Signatures

NEW YORK, NYÂ 10036

/s/ Jeffrey W.
Priest

**Signature of Reporting Person

O2/11/2018

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares received in payment of dividends.
- (2) Mr. Priest has dispositive power but disclaims any beneficial interest in these shares.
- (3) The securities reported herein were acquired on a periodic basis in open market transactions at the prevailing market price pursuant to the Issuer's Employees' Thrift Plan. The information is based upon a statement as of 12/31/2018 issued by the Plan Administrator.
- (4) By Issuer's Employees' Thrift Plan Trust. The undersigned disclaims any beneficial interest in these shares.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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